

FORM PTO-1390  
REV. 5-93US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEYS DOCKET NUMBER  
**P01,0200****TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/868277**INTERNATIONAL APPLICATION NO.  
**PCT/EP99/09928**INTERNATIONAL FILING DATE  
**14 DECEMBER 1999**PRIORITY DATE CLAIMED  
**16 DECEMBER 1998**

TITLE OF INVENTION

**SERVICE SYSTEM IN A NETWORK**

APPLICANT(S) FOR DO/EO/US

**Markus LAUTENBACHER**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (**PTO 1449, Prior Art, Search Report, References**).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.  
(**SEE ATTACHED ENVELOPE**)
13. ☒ Amendment "A" Prior to Action.
  - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ A substitute specification and mark-up for substitute specification.
15. ☐ A change of address letter attached to the Declaration.
16. ☒ Other items or information:
  - a. ☒ Submission of Drawings, 7 sheets, Figures 1-7
  - b. ☒ **Copy of International Search Report**
  - c. ☒ EXPRESS MAIL # EL 843728756 US dated June 15, 2001

U.S. APPLICATION NO (if known, see 37 C.F.R. 1.10)

097/868277

INTERNATIONAL APPLICATION NO  
PCT/EP99/09928ATTORNEY'S DOCKET NUMBER  
P01,020017. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ..... \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$710.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search  
fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$1000.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims  
satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the  
earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number  
Extra

Rate

Total Claims

14

- 20 =

0

X \$ 18.00

\$

Independent Claims

05

- 3 =

2

X \$ 80.00

\$ 160.00

Multiple Dependent Claims

\$270.00 +

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1020.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be  
filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$ 1020.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

**TOTAL NATIONAL FEE =**

\$ 1020.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

\$ 1020.00

Amount to be  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 1020.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate  
copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment  
to Deposit Account No. 50-1519. A duplicate copy of this sheet is enclosed.NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be  
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE

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CUSTOMER NUMBER 26574

NAME

28,982  
Registration Number

BOX PCT

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY - CHAPTER II

**AMENDMENT "A" PRIOR TO ACTION AND  
SUBMISSION OF SUBSTITUTE SPECIFICATION**

APPLICANT(S): LAUTENBACHER, Markus  
ATTORNEY DOCKET NO: P01,0200  
INTERNATIONAL APPLICATION NO: PCT/EP99/09928  
INTERNATIONAL FILING DATE: 14 DEC 1999  
INVENTION: SERVICE SYSTEM IN A NETWORK

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicant herewith submits an amendment and substitute specification in the captioned PCT application, and respectfully requests entry of same prior to examination in the United States National Stage.

**IN THE TITLE**

Change the Title of the Invention to: SERVICE SYSTEM FOR AN IP-BASED  
COMMUNICATION NETWORK

**IN THE SPECIFICATION**

Cancel the specification as filed and insert therefore the substitute specification provided herewith.

09/868277-061001  
T.00790-429960

Cancel claims 1 - 15 as filed, and insert therefore new claims 16 - 29 as follows:

- - What is claimed is:

16. (New) In an IP-based network, a system comprising:  
at least one server storing application programs for implementing user specific subscribable services, said server storing said services on a per user basis;  
and

at least one terminal having on-demand access to said IP-based network for requesting downloadable programs corresponding to said services, whereby said application programs can be executed.

17. (New) The system of claim 16, wherein said user specific subscribable services are supplementary to basic user services.

18. (New) The system of claim 16, wherein said user specific subscribable services are supplementary to Internet Telephony service.

19. (New) The system of claim 18, wherein said user specific subscribable services can be user configured via said at least one terminal.

20. (New) The system of claim 19, wherein said server includes a Java system, and said at least one terminal supports downloading of said application programs.

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21. (New) In a telecommunications network, a server comprising a database system storing a collection of application program for implementing specific services subscribable by a user, said data base system storing said specific services in a profile on a per user basis; and a transfer component for on-demand transferring to a user at a terminal, application programs according to said specific services.

22. (New) The server of claim 21, wherein said specific services are supplementary services to basic user services.

23. (New) The server of claim 22, wherein said specific services are supplementary services to Internet Telephony.

24. (New) In an IP network, a terminal comprising a client component for on-demand requesting of user downloadable application software from a server, said application software capable of implementing user subscribable services; and said application software including an application execution component for executing said downloadable software, whereby said software executes user services and/or a supplementary service of a user service.

25. (New) The terminal of claim 21, wherein said application execution component is implemented as a virtual machine.

26. (New) The terminal of claim 25, wherein said user subscribable services can be configured via said client component.

27. (New) A method for realizing services in an IP-based network, the method comprising the steps of:

providing user services via server in the core of the network; and  
executing said user services via a terminal at the network edge.

28. (New) The method of claim 27, further comprising the step of realizing supplementary services for Internet Telephony.

29. (New) A communication network comprising a core network for providing user services, and terminal at the network edges for executing said user services. - -

**IN THE ABSTRACT**

Cancel the Abstract as filed, and insert therefore on a separate page, the following Abstract of the Disclosure:

**- - ABSTRACT OF THE DISCLOSURE**

A system for realization of user services in a next generation IP-based network, involving a function split in realizing services. Providing of user services is done by a server in the core of the network. Execution of user services is done by terminals at the network edges. The system is able to cope with ever changing user services and even more rapidly evolving terminal hardware. - -

**REMARKS**

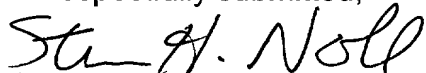
A substitute specification and an Abstract of the Disclosure are provided herewith which make editorial changes in order to conform to standard US practice. A marked-up version of the specification is also provided reflecting the changes made.

In addition, the Title of the Invention has been changed to be more descriptive, and the claims as filed have been canceled and replaced by new claims that more clearly set forth the subject matter of Applicant's invention.

No new matter has been inserted into the application.

Applicant submits that this application is in proper condition for examination in the United States National Examination Stage, which action is earnestly solicited.

Respectfully submitted,

  
\_\_\_\_\_  
Steven H. Noll (Reg. No. 28,982)

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0986827, 064894

Substitute Specification:

**-- SERVICE SYSTEM FOR AN IP-BASED  
COMMUNICATION NETWORK**

**BACKGROUND OF THE INVENTION**

Field of the Invention:

The present invention generally pertains to IP-based communication networks, and in particular to supplementary services for next generation IP-based communication networks.

Discussion of the Related Art:

In IP-based communication networks (for telecommunication and/or data), Network equipment vendors will add value to their products by providing distributed Network Services (e.g. QoS, security, reliability, roaming, remote access, ...) to enhance basic-IP connectivity.

Independent third party companies will provide Network Applications (e.g. communication, collaborative working, e - commerce, on-line gaming, distance learning, etc.) for clients/servers that transparently use the network infrastructure as a mere transport facility based on open standards and protocols.

The aforementioned facts require a flexible architecture for the provision and execution of user services in IP-based networks for telecommunication and data (converged networks).

In existing solutions for user service provisioning, e.g. in telecommunication networks like the GSTN (Global Switched Telephony Network), the intelligence for user service provision and execution are unified and centralized in a few network entities, such as in the Local Exchange LX approach (providing "switch-based



services”) the service processing is tightly coupled with normal call processing or in the Intelligent Network (IN) approach the call processing is separated. The combination of the Service Switching Function (SSF) and Service Control Function (SCF) work to process services by detection of traversal of points in a call and by manipulation of the call state.

In the GSTN, said user services are called supplementary services. In general, these supplementary services automate procedures that people carry out when using “basic” telephony. In other words, supplementary services are features that make basic telephony calls more convenient.

Next generation IP-based networks will have two important characteristics, namely, mobility of users (with or without their terminals), and a wealth of intelligent terminals (PC, PDA, laptop, mobile phone with Java Virtual Machine (JVM), etc.) These characteristics drive requirements for the benefit of the user and the network.

Roaming of nomadic users combined with transparent co - migration of the user’s Virtual Home Environment (VHE) will be desired. The VHE is a user’s personal set of subscribed applications, personal options of how these applications are configured and bundled into a user services package, and the user’s personal choice of payment for the use of these services.

In addition, compatibility with intelligent communication terminals like a PC, PDA, lap/palmtop, mobile phone with Java Virtual Machine (JVM) etc. will also be desired.

A user may not be limited to a single type of intelligent terminal but instead will want to use what is most appropriate or what is available at a particular location.

Despite changing terminals at will, the user always wants to have the same services available regardless of the particular end device used.

Due to the flexible nature of IP-based networks, the number of possible applications and user services running on intelligent terminals is enormous. Programmers are constantly implementing new ideas based on inexpensive, off-the-shelf platforms for intelligent terminals (e.g. PCs).

An architecture for the provision of user services must be able to cope with ever changing applications and user services for even more rapidly evolving terminal hardware. As the network edges are changing so fast, it will become impossible to provide and execute user services in the core of the network. Core equipment is subject to more stringent requirements than consumer-grade, low-cost edge equipment (terminals) and hence more expensive. Creation of applications and corresponding user services (supplementary services in the case of Internet Telephony) on core equipment would technologically and economically not be able to keep up with the rapid creation of new applications and user services on inexpensive edge equipment.

The principle idea of the invention is the function split in realizing services: providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network, while the execution of user services is delegated to intelligent terminals at the network edges.

Since by now terminals have become powerful processing units anyway, it is economically and technically reasonable to delegate the execution of user services to intelligent terminals at the network edges. However providing of user services

(e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network.

Technically this is achieved by a corresponding client/server architecture with a least one central application repository server keeping copies of application programs that can be downloaded on-demand into the user's terminals.

This architecture for the provision of user services in next generation IP-based networks for telecommunication and/or data meets all the aforementioned user and network requirements.

The invention optimally makes use of the two sources of intelligence that will be present in such networks, namely, distributed intelligence in network elements (such as routers and switches) adding value to basic IP-connectivity, and intelligence in end systems (clients, servers) allowing for a wealth of advanced applications

This is a new notion of "network intelligence" different from the interpretation in classical IN for the GSTN where intelligence solely rests in central entities. There the terminals are just dumb telephony sets without any processing power.

With terminals becoming powerful processing units due to technical evolution, an embodiment of the invention includes a corresponding application execution environment (application execution component) that works across a wide range of intelligent terminals and enables the technical feasibility of delegating service execution to a spectrum of different intelligent terminals.

User services are services to the end user resulting from the interworking of network-aware applications which operate above IP-Model Layer 3 (typically even above IP-Model Layer 5) in intelligent terminals at the edges of the network, e.g. e-

mail, WWW, Buddy Lists, Internet Telephony, etc.

Network services add value to the basic service of "IP- transport". These services are provided at IP-Model Layers 2 & 3 by network elements which operate inside the network, e.g. QoS, security, VPN, etc.

The invention is concerned with an architecture for the provision of user services to IP-based intelligent terminals, not with the provision of network services.

For the purpose of the invention it is sufficient to treat the IP-based network as an entity providing IP-connectivity only. The network is considered to be a pure transport network offering no specific network services.

### **SUMMARY OF THE INVENTION**

It is an object of the present invention to offer a system that is not concerned with the fundamental processes involved in making a call, which functionality is provided by the underlying Internet Telephony package used.

It is another object of the invention to provide a standard interface that enables supplementary services to interact with all telephony packages in the same manner, without the need for any package-specific code in the services and whilst keeping the service/package interaction as simple as possible.

It is an additional object of the invention to offer the ability to download a user-specific set of small application programs from a central server into the user's end system.

It is yet another object of the invention to limit the supplementary services a user has access to and to charge the user for the services used.

It is yet a further object of the invention to offer the ability to execute the

downloaded programs that implement a set of supplementary services on the user's machine, in a secure environment and regardless of the machine platform.

It is yet an additional object of the invention to offer an overall control process that allows multiple, potentially conflicting, supplementary services to be executing at the same time without problem. The user is able to assign and change the relative priority of services within the service set and thus modify how potential conflicts are to be resolved.

It is still another object of the invention to offer the ability for individual supplementary services in the downloaded service set to be configured by the user. This configuration includes setting service-specific parameters, setting the relative priority of services within the set of supplementary services and the ability to enable and disable individual services on a temporary basis.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows elements of an architecture for the provision of use services in next generation networks;

Figure 2 shows architecture for the provision of user services in "idle state": ready to enable a user with his specific service profile when he connects with his intelligent terminal to a network access point;

Figure 3 shows step-by-step service delivery: (user authentication ("user ID-to-service profile" mapping ("service profile-to-applications bundle" mapping (delivery of user specific applications bundle;

Figure 4 shows service delivery completed: the user is ready to use his

personal profile of user services;

Figure 5 shows detailed view of the Application Execution Environment (AXE);

Figure 6 shows minimal architecture for Internet Telephony; and

Figure 7 shows architecture for supplementary services in Internet Telephony.

### **DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS**

The general architecture involves only a small number of network entities as illustrated in Fig. 1, which shows a network entity with its own IP address, memory, and some sort of CPU. For the near future, these are the numerous workstations, PCs, lap- & palmtops, IP-(video)phone sets, TV set-top boxes, mobile communication devices that run some sort of operating system and become - following Moore's Law - equipped with ever more powerful CPUs every 18 months. Each of these terminals understands some variant of Java. Today, the Java Virtual Machine (JVM) is already a standard part of most operating systems or gets molded into dedicated silicon chips for small devices like mobile communication devices. There is and will be a wealth of terminals available to the user. Each of them far more "intelligent" than the standard phone handset of today. The proposed architecture will therefore make use of this "free and idle intelligence" by delegating execution of user services to it.

Depending on the particular intelligent terminal considered, this is a LAN connector, a dial-up POP of an ISP, a lap/palmtop docking unit, a base station for mobile communication, etc. In the proposed architecture the sole purpose of the network access point is to provide IP-network connectivity.

Given the possibility of users roaming from access point to access point, getting dynamically assigned IP-address while at the same time switching between PC, laptop, mobile communication device, etc., the access does not handle advanced tasks such as authentication or any other user specific things.

If the execution of user services is delegated to the intelligent terminals, and the network access point provides just pure IP-connectivity, then the intelligence beyond mere execution of user services must be kept elsewhere. This is the User Service Merchant. The User Service Merchant acts as a central source for user specific information like user ID, authentication data, profile of subscribed user services, billing information, etc. It also keeps “master copies” of the application executables in a dedicated repository (see next section for a detailed explanation).

The User Service Merchant provides all the logic necessary to provision services to the user but does not actually perform the services.

In the existing classical IN for the GSTN, the intelligence for service provision and execution are unified and centralized in a few network entities. This is opposite to the solution proposed here, where the intelligence is split and decentralized: provision of user services is handled centrally by the User Service Merchant, the actual execution of user services is delegated to the distributed intelligent terminals.

Fig. 2 shows the architecture in “idle state”. The User Service Merchant is connected to the network, the user’s intelligent terminal (PC, laptop, mobile communication device, ...) may or may not be connected to the network via a network access point. At this point the User Service Merchant considers the terminal as “off-line” (in Fig. 2 represented in *italics*) in either case.

The User Service Merchant keeps a list of user IDs (UID). An UID uniquely identifies a subscriber and indirectly associates him with a certain bundle of pre-selected applications. The information about the exact selection of applications is kept as an UID-associated Service Profile for each individual subscriber on the User Service Merchant.

The User Service Merchant also maintains an Application Repository which basically is a collection of application programs stored in a suitable database system. Each program either implements a self-contained user service, or some value-adding supplementary feature for such a service (example: the self-contained service could be "text-only Web browsing" for mobile communication appliances, the supplementary feature could be "optional graphics support for Web browsing" with the same appliance). The programs are implemented such that an instance of them can be downloaded on demand from the repository into the user's intelligent terminal for execution. This may be achieved by technologies such as Java.

A user can (un)subscribe to any of the available application programs in the repository by correspondingly changing the configuration of his UID-associated Service Profile. This update of the Service Profile is propagated to the User Service Merchant for permanent storage when the user terminates his session or when he takes his intelligent terminal off-line. The Service Profile is not stored on the user's intelligent terminal to allow for easy roaming or switching between different intelligent terminals. With the Service Profile kept centrally on the User Service Merchant the user always gets the same Service Profile regardless whether he accesses the network e.g. from his office PC, from a public information kiosk, from a mobile



communication device, or from home. Changes to the Service Profile made from one location/terminal will be available automatically at any other location/terminal.

This concept of a Service Profile transparently migrating with the user across different locations and end systems is referred to as Virtual Home Environment (VHE). Service delivery with this type of architecture is shown in Fig. 3. Steps involved with this type of architecture include: User Authentication, UID-to-Service Profile Mapping, Service Profile-to-Applications Bundle Mapping, and Delivery of User Specific Applications Bundle.

#### User Authentication:

Before a user can use any of the services he has to authenticate himself by providing his UID (and related password/PIN) to the Service Merchant. In the case of a permanently connected PC this can be done by clicking on a corresponding button. In the case of a laptop or a mobile communication device, this can be done automatically when it gets connected to the Network Access Point.

#### UID-to-Service Profile Mapping:

After the UID (dark gray triangle) has been verified, it is mapped to the corresponding Service Profile of the user. The Service Profile (light gray blobs) represents the user's personalized applications bundle compiled from the total set of available applications (dark gray blobs) in the Application Repository. Via the Service Profile the user can create his very personal VHE. The profile is not static but can be customized and administered by the user directly from his intelligent terminal.

#### Service Profile-to—Applications Bundle Mapping:

Based on the information in the user's Service Profile the User Service Merchant identifies a subset of the applications available in the Application Repository. The identified subset (circled dark gray blobs) is the personalized bundle of user services.

#### Delivery of User Specific Applications Bundle:

The final step is to download the selected bundle of applications over the network into the user's intelligent terminal. The Java software technology was designed with exactly this download capability of programs in mind. Related issues such as platform independence, efficiency and security when transmitting code over a network are an integral part of the Java design. Together with its increasing availability in intelligent terminals, this makes Java the prime candidate to support the type of architecture for the delivery of user services discussed in this document.

Fig. 4 shows the architecture in the "ready state", the final stage of the service provisioning process. The programs enabling the subscribed user services have been downloaded into his intelligent terminal and await their invocation and execution there.

As end systems become equipped with ever more powerful processors, it is just reasonable to exploit this power by having the services execute on the end systems rather than some central network entity. Restraining themselves to service delivery issues rather than execution central network entities face less stringent scalability problems.

Furthermore, with this approach central network entities like the User Service Merchant are out of the loop of rapid technology changes in intelligent terminals like

PCs, laptops, mobile communication devices, etc. If service specific details regarding the intelligent terminals change, only the affected modules of the programs in the Application Repository have to be adapted. The User Service Merchant as a whole with its user administration, authentication, application repository & delivery functions is not affected at all. This modular approach to the provision of user services is nicely supported by the dynamic loading of required classes available in Java. Not even the whole program has to be replaced but just the affected class file.

With execution of user services delegated to the intelligent terminals in the form of downloadable application programs, it becomes important to devise a corresponding Application execution environment that works across a wide range of technologically different intelligent terminals. The Application execution environment is therefore implemented as a virtual machine, for example as a java virtual machine.

The virtual machine has to be ported to each supported terminal only once. To the applications the virtual machine looks the same on all supported terminals.

Fig. 5 shows a correspondingly detailed view of an intelligent terminal for the case of a PC running the Windows operating system by Microsoft.

The downloaded application programs that implement a particular user service are Java applets (dark gray blobs) which are executed in the Application Execution Environment (AXE) on the intelligent terminal. Communication to and from the user is done via a graphical user interface (GUI) shared by all user services. The downloaded programs are confined within the AXE (so-called

'sandbox' approach) and do not communicate to lower software layers other than through a specific AXE API. The platform dependent connection to the Windows DLLs is achieved by a Java/COM interface taking AXE API commands as input. This interface has to be written only once (e.g. in C++) for each supported intelligent terminal. The interface forms the specific link between the platform independent user services implemented in Java and the actual operating system and hardware of the terminal.

This evolves the general architecture further in the case of supplementary services for Internet Telephony.

Today, Internet Telephony is still lacking the elaborate set of supplementary services offered by the Intelligent Network (IN) approach in the Global Switched Telephone Network (GSTN). Internet Telephony is mainly used to provide an analogy for telephony over the CSTN.

Fig. 5 shows a minimal configuration by which Internet Telephony (and, in particular, Microsoft (MS) NetMeeting) calls are arranged.

In this arrangement, there are three PCs needed, namely, the Internet Locator Service (ILS) Server, and the originating and terminating end stations. The end stations are involved actively in the communication session, transcoding audio streams (and, optionally, video streams or data) into a form that can be carried over the Internet. The ILS (Internet Locator Service) Server acts as a directory server in the configuration. This node stores information on the users who are "logged in". Via the ILS users are associated with an Internet host, and that Internet host is running an Internet Telephony application and so is ready to respond to incoming

call requests. When a user asks for an Internet Telephony call to be originated, an address query is sent to the directory server with the "alias" (usually the email identifier) of their intended correspondent. The ILS then responds with the user's currently associated IP-address.

With Internet Telephony, a number of distinctive features for supplementary services become possible that are not available in the case of GSTN.

The important point to note here is that user services, in this particular case supplementary services for Internet Telephony, are associated with applications running on intelligent terminals, not some sort of central network entity.

Use of the Internet allows a great deal of complexity in the provision of supplementary services to be avoided. Many of the services that require user interaction in the GSTN require temporary connections to be made to specialized resources (such as announcement units and DTMF tone decoders), and for any captured information to be sent onwards to service processors using separate data links. All of this is avoided because, on the Internet, one can assume that a user is associated with an end station that allows involved text and graphics to be displayed, and that the end station is capable of transferring complex messages directly, rather than being restricted to a simple keypad.

There is another implication of the use of the Internet when providing supplementary services. Internet hosts are computers, and so will almost certainly have powerful processors. This opens the possibility of carrying out much of the processing on the end stations rather than relying on the network nodes (such as the LX or the IN) as is required in the GSTN due to the lack of local intelligence in the

POTS telephones.

Combination of basic Internet Telephony with other Internet applications such as email enables yet another completely different set of truly advanced supplementary services.

The architecture proposed here implements and extends the more general architecture for the case of supplementary services for Internet Telephony.

The architecture is concerned with an architecture to support the provision of supplementary services for Internet Telephony. It is not concerned with providing a solution for basic Internet Telephony calls. This functionality will be taken from some off-the-shelf Internet Telephony application package like Microsoft (MS) NetMeeting.

The proposed architecture contains an infrastructure for distributing service logic (in the form of Java applets) to the end stations, and for allowing the service logic and it's management infrastructure to operate in a distributed fashion (both in the end stations and in separate servers). The distributed service logic supports and interworks with the aforementioned Internet Telephony application package.

Fig. 7 shows the general arrangement of systems providing Internet Telephony, with one of the end stations (shown at the right) also having the enhancements to support supplementary services for Internet Telephony. The architecture contains the two end stations discussed above, each running off-the-shelf MS NetMeeting Internet Telephony application packages, where at least one application is enhanced with the J/Direct Interface and the Application Execution Environment (AXE). The server offers directory services for translation of a symbolic

user alias (e.g. email address) to the user's current IP address. The ILS is used to allow for easy to remember Telephony "numbers" and hence supports convenient call setup by the user.

The User Service Merchant acts as a repository for the supplementary services for Internet Telephony. The services are stored as pre-compiled programs (in the form of Java applets), each implementing a specific service logic. On demand by the user, these service logic units are downloaded into the user's end stations for execution there. The User Service Merchant also keeps track of which user is entitled to use which supplementary service.

When a user requests a particular Internet Telephony supplementary service, the Java applet with the corresponding service logic is downloaded from the Service Merchant into the AXE which sits on top of the user's Internet Telephony application package. The applet runs in the AXE and drives the telephony application package according to the applet's service logic through the J/Direct interface. The J/Direct interface allows for the service logic to access the Internet Telephony libraries of the underlying application package.

Given the architecture of the present invention, a wealth of supplementary services for Internet Telephony can be provided. The set of sample Internet Telephony supplementary services listed below are aimed at combining email and Internet Telephony. The services can be grouped into "originating" and "terminating" services depending on whether the caller or callee uses them.

This originating service is triggered when the callee is already engaged in a call. Although the user may have rejected the offered call explicitly, the result

(inability to communicate) is the same, and this service suffices in both cases. The caller is asked whether or not he wants to send an email instead. If the caller answers in the affirmative, then the rest of the service proceeds. The conference and call objects created by NetMeeting hold information on the called user alias, and this is used to make a template for an email to be filled in by the caller. This template is displayed on the user interface, and, on entry of the email content, is submitted for transmission. By implication, the service logic must include a small email client to send the email to an SMTP server.

As in the previous case, this supplementary service is triggered when a call rejection has been received from the remote callee. In this case, however, it will display a user interface dialogue asking the calling subscriber if they would like the system to retry the callee's address at regular intervals. If the answer returned is "yes", then the service proceeds. A timer is started, and on its expiry, the call is re-initiated. After that, the service monitors the call state, and, if the call is successful, the user is notified. If it again fails, the timer is reset, and the sequence repeats. A choice on the user interface to be presented to allow for canceling of the sequence once it has could be an additional feature.

This terminating supplementary service is used to process calls delivered to the end station in terms of the caller's identity. In this case, it will be used to "auto-reject" call offers from people not on a list of names generated as a preservice procedure. The list can contain explicit caller IDs or can include mechanisms to reject calls from people who have arranged their Internet Telephony client not to pass on their identity (by the expedient of not registering with an ILS server prior to



making the call, in the case of NetMeeting).

This terminating supplementary service allows a subscriber (callee) to be informed by email of the identities of the people who have called him whilst he was unable (or unwilling) to answer his Internet Telephony program. The supplementary service will extract the name of the caller from the call data that NetMeeting provides (as well as making a note of the current time), and then construct an email describing this information. Once this is ready, it will connect to the callee's SMTP server, will send the text it has created, and then finally terminate the connection. Enhancements of this service could be used to send other kinds of notification (such as, for example, contacting a Web-based gateway to the GSM Short Message Service (SMS) and sending an SMS message with the caller's identity).

Although modifications and changes may be suggested by those skilled in the art to which this invention pertains, it is the intention of the inventors to embody within the patent warranted hereon all changes and modifications that may reasonably and properly come under the scope of their contribution to the art. - -

## **ABSTRACT OF THE DISCLOSURE**

A system for realization of user services in a next generation IP-based network, involving a function split in realizing services. Providing of user services is done by a server in the core of the network. Execution of user services is done by terminals at the network edges. The system is able to cope with ever changing user services and even more rapidly evolving terminal hardware.

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Fig. 1

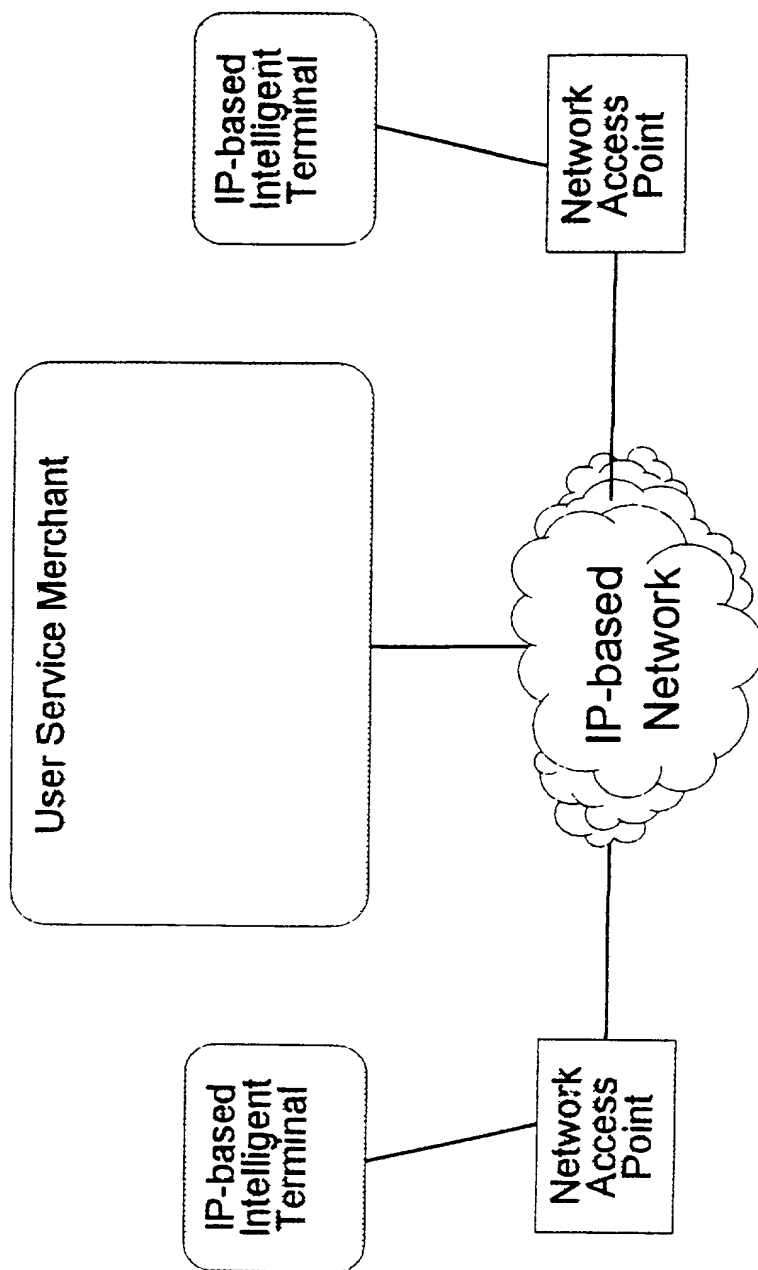


FIG. 2

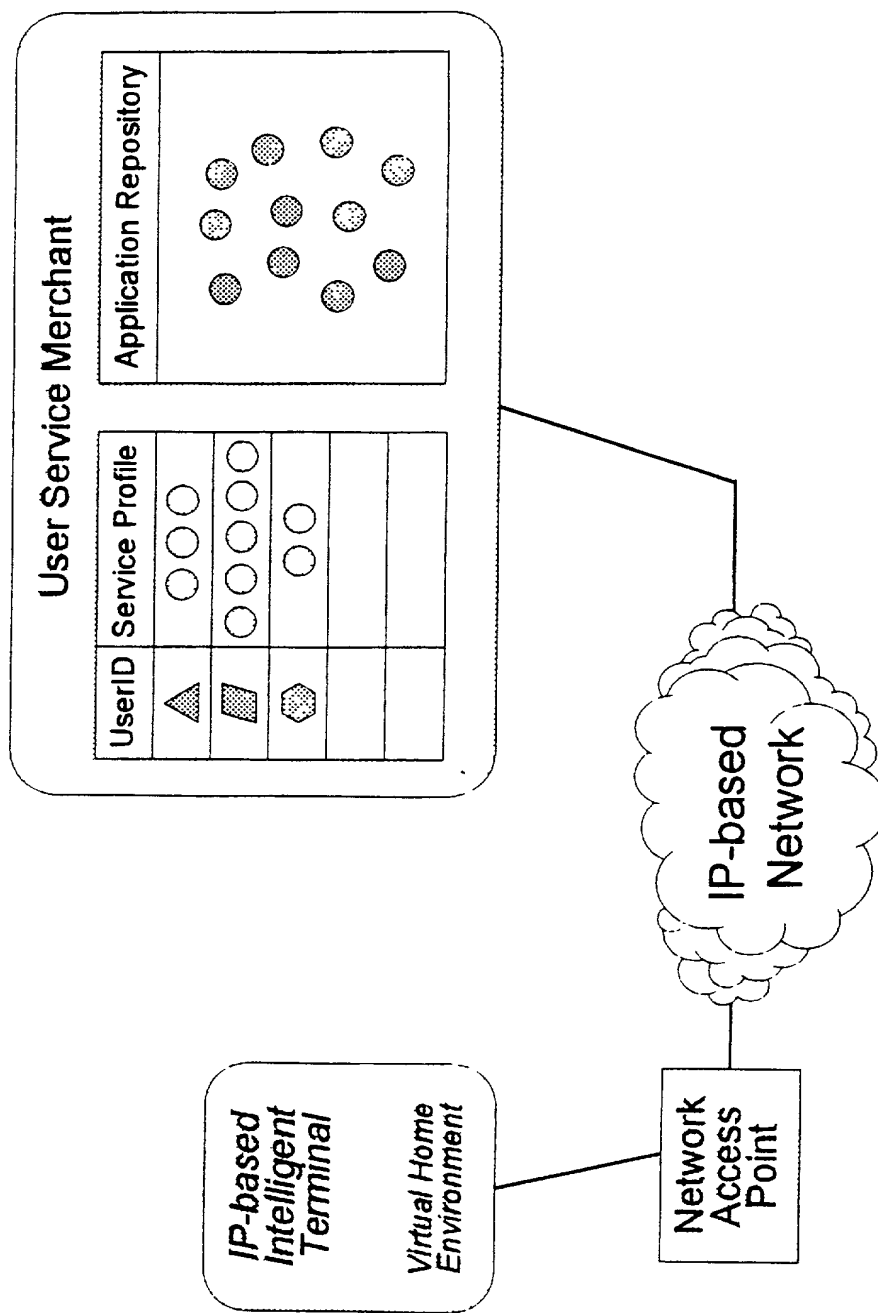


FIG. 3

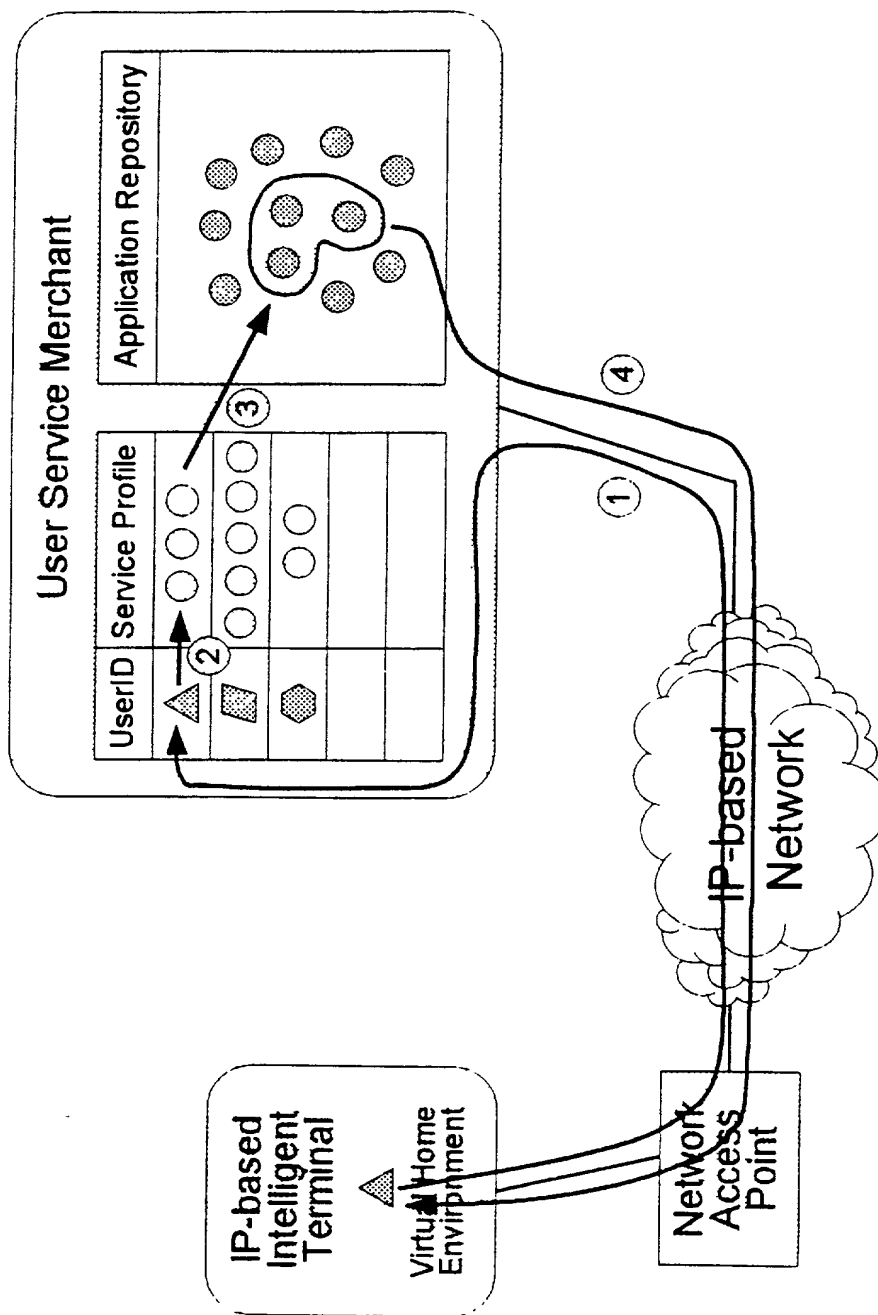
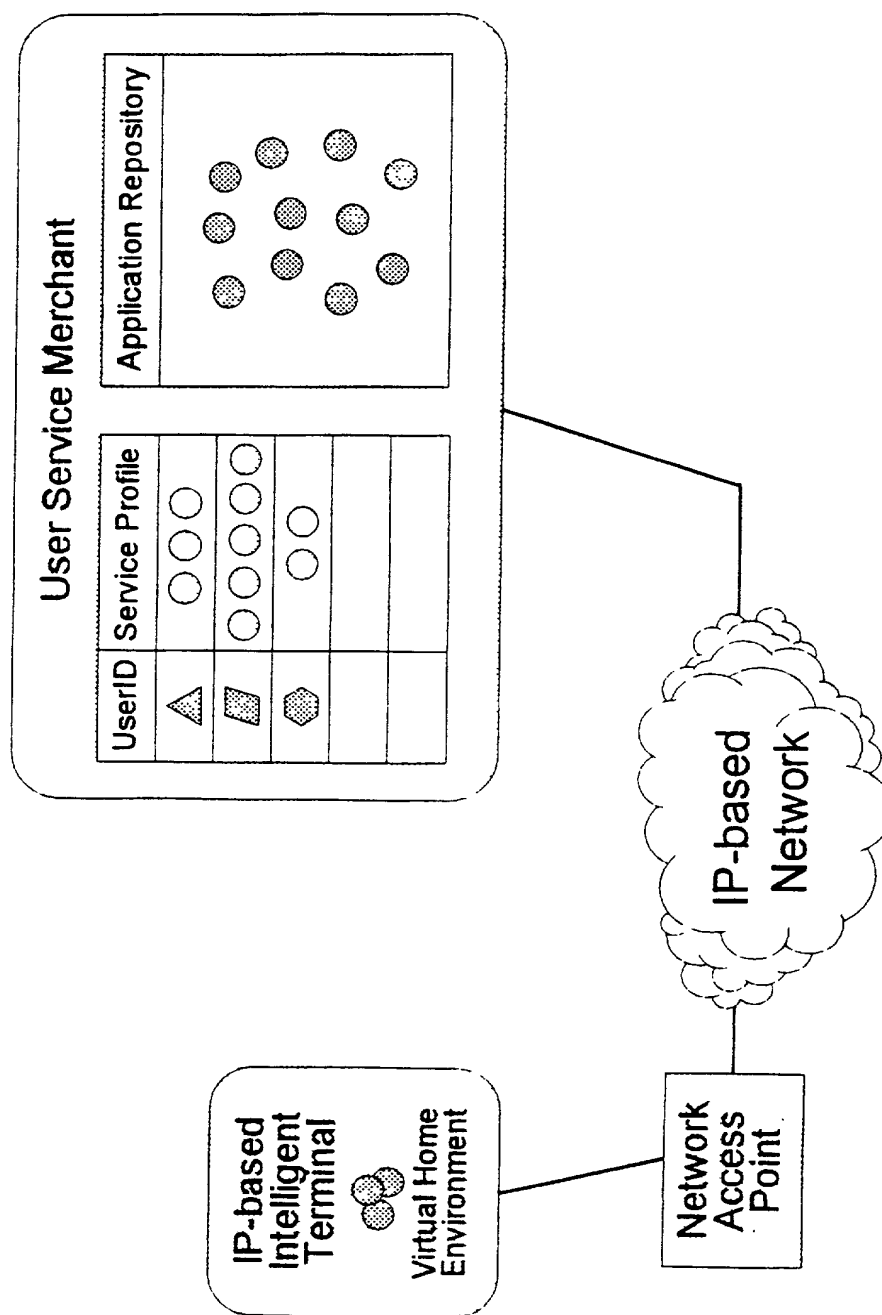


FIG. 4



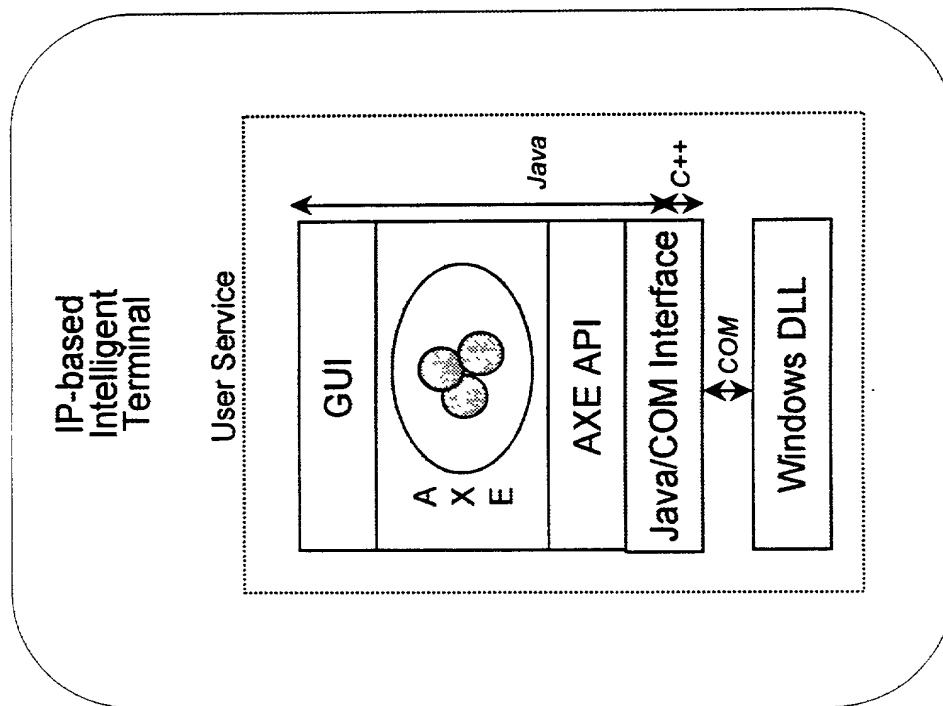
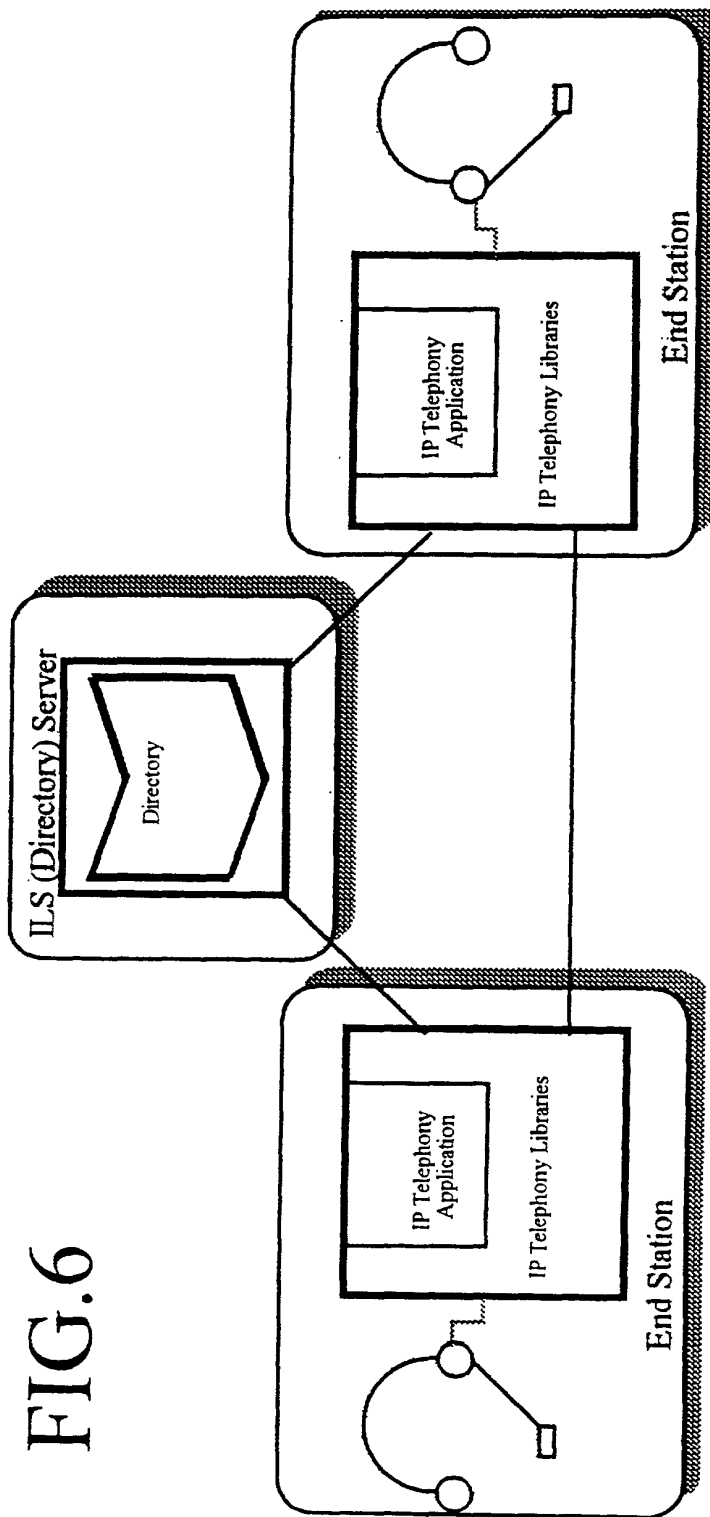


FIG. 5

FIG.6





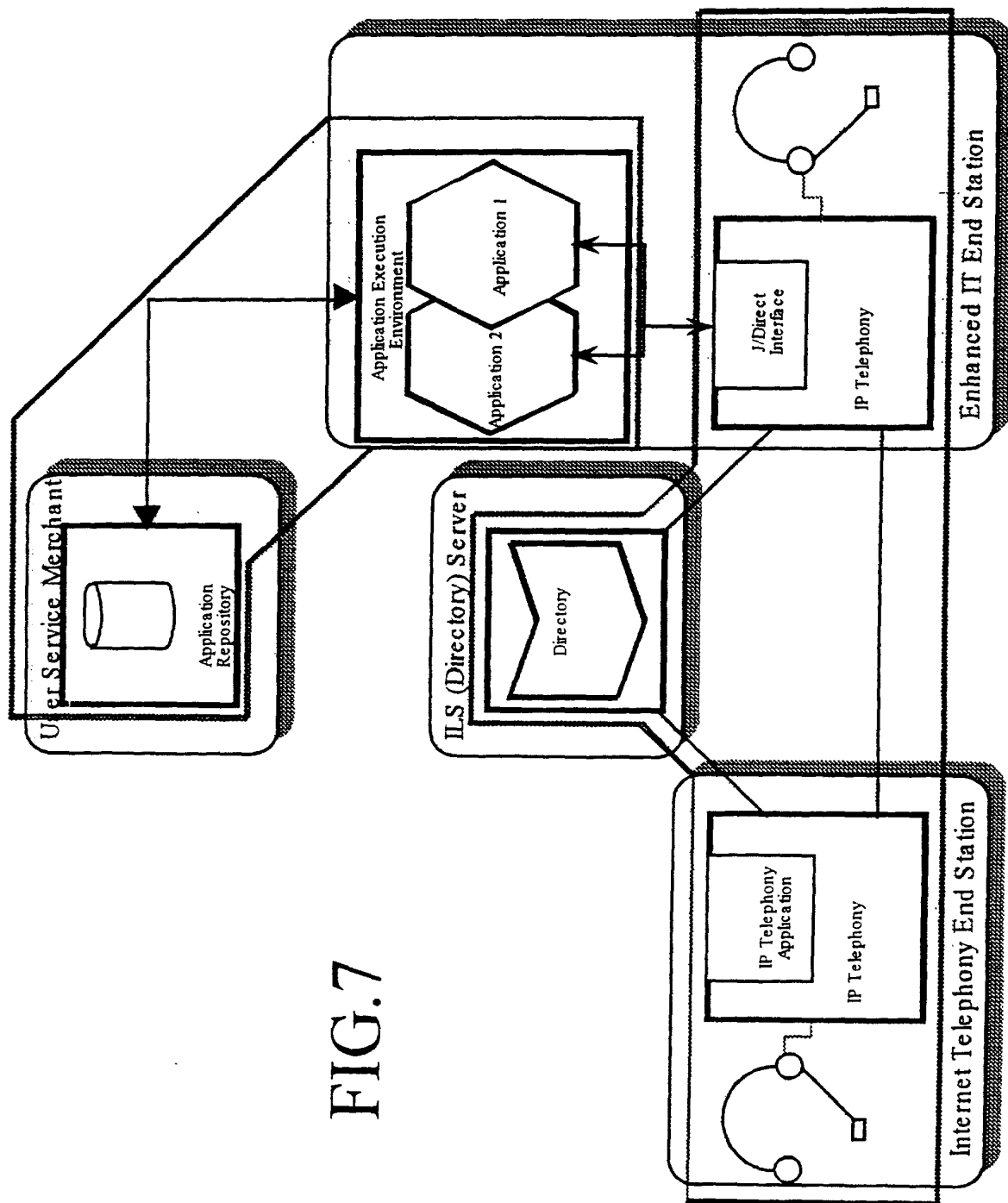


FIG. 7

{Description} [Substitute Specification:]

JC03 Rec'd PCT/PTC 15 JUN 2001

{Service System in a network}[- - SERVICE SYSTEM FOR AN IP-BASED ]  
 {1-Introduction} [COMMUNICATION NETWORK]

### BACKGROUND OF THE INVENTION

#### Field of the Invention:

The present invention generally pertains to IP-based communication networks, and in particular to supplementary services for next generation IP-based communication networks.

#### Discussion of the Related Art:]

In IP-based communication networks (for telecommunication and/or data), Network equipment vendors will add value to their products by providing distributed Network Services (e.g. QoS, security, reliability, roaming, remote access, ...) to enhance basic-IP connectivity.

Independent {3rd} **[third]** party companies will provide Network Applications (e.g. communication, collaborative working, {ecommerce} **[e - commerce]**, on-line gaming, distance learning, {...}) **[etc.]** for clients/servers that transparently use the network infrastructure as a mere transport facility based on open standards and protocols.

The aforementioned facts require a flexible architecture for the provision and [ ]execution of user services in IP-based networks for telecommunication and data (converged networks).

~~{2- State of the art~~

}In existing solutions for user service provisioning[, ] e.g. in telecommunication networks like the GSTN (Global Switched Telephony Network), the intelligence for user service

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provision and execution are unified and centralized in a few network entities[, **such as** in]{:

•In} the Local Exchange LX approach (providing “switch-based [ ]services”) the service processing is tightly coupled with normal call processing [or]{:} {In} [in] the Intelligent Network (IN) approach the call processing is separated. The combination of the Service Switching Function (SSF) and Service Control Function (SCF) work to process services by detection of traversal of points in a call and by manipulation of the call state.

In the GSTN, said user services are called supplementary services. In general, these supplementary services automate procedures that people carry out when using “basic” telephony. In other words, supplementary services are features that make basic telephony calls more convenient.

### ~~{3. The Invention~~

}Next generation IP-based networks will have two important characteristics[, **namely,**]{:} → mobility of users (with or without their terminals)[, **and**] {→} a wealth of intelligent terminals (PC, PDA, laptop, mobile phone with Java Virtual Machine (JVM), {...}) [etc.]) These characteristics drive requirements for the benefit of the user and [the] network{:}[.]

### ~~{User Requirements:~~

→ Roaming of nomadic users combined with transparent co - migration of {their} [the user's] Virtual Home Environment (VHE) [will be desired]. The VHE is a user's

personal set of subscribed applications, ~~{his}~~ personal options of how these applications are configured and bundled into a user services package, and ~~{his}~~ **[the user's]** personal choice ~~{how he wants to pay}~~ **[of payment]** for the use of these ~~{user services}~~ **[services.]**

~~{Intelligent}~~ **[In addition, compatibility with intelligent]** communication terminals like a PC, PDA, lap/palmtop, mobile phone with Java Virtual Machine (JVM) etc. **[will also be desired.]**

**[{→}** A user may not be limited to a single type of intelligent terminal but instead will want to use what is most appropriate or what is available at a particular location.

**{→}** Despite changing terminals at will, the user always wants to have the same services available regardless of the particular end device used.

#### ~~{Network Requirements:~~

}Due to the flexible nature of IP-based networks, the number of possible applications and user services running on intelligent terminals is enormous. Programmers are constantly implementing new ideas based on inexpensive, off-the-shelf platforms for intelligent terminals (e.g. PCs)[.]

An architecture for the provision of user services must be able to cope with ever changing applications and user services for even more rapidly evolving terminal hardware. As the network edges are changing so fast, it will become impossible to provide and execute user services in the core of the network. Core equipment is subject to more stringent requirements than consumer-grade, low-cost edge equipment (terminals) and hence more expensive. Creation of applications and corresponding

user services (supplementary services in the case of Internet Telephony) on core equipment would technologically and economically not be able to keep up with the rapid creation of new applications and user services on inexpensive edge equipment.

~~{The object of the present invention is to solve said problems.~~

~~In the following, the invention is described by the aid of a few examples of its embodiments by referring to the attached drawing comprising seven figures:~~

~~Figure 1: Elements of an architecture for the provision of use services in next generation networks:~~

~~Figure 2: Architecture for the provision of user services in "idle state": ready to enable a user with his specific service profile when he connects with his intelligent terminal to a network access point.~~

~~Figure 3: Step-by-step service delivery: (user authentication ("user ID-to-service profile" mapping ("service profile-to-applications bundle" mapping (delivery of user-specific applications bundle:~~

~~Figure 4: Service delivery completed: the user is ready to use his personal profile of user services:~~

~~Figure 5: Detailed view of the Application Execution Environment (AXE):~~

Figure 6: Minimal architecture for Internet Telephony.

Figure 7: Architecture for supplementary services in Internet Telephony.

The principle idea of the invention is the function split in realizing services: providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network, while the execution of user services is delegated to intelligent terminals at the network edges.

Since by now terminals have become powerful processing units anyway, it is economically and technically reasonable to delegate the execution of user services to intelligent terminals at the network edges. However providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network.

Technically this is achieved by a corresponding client/server architecture with a least one central application repository server keeping copies of application programs that can be downloaded on-demand into the user's terminals.

This architecture for the provision of user services in next generation IP-based networks for telecommunication and/or data meets all the aforementioned user and network requirements.

The invention optimally makes use of the two sources of intelligence that will be present in such networks[, namely,]t:

→ distributed intelligence in network elements (such as routers and switches) adding value to basic IP-connectivity[, **and**] → intelligence in end systems (clients, servers) allowing for a wealth of advanced applications

This is a new notion of “network intelligence” different from the interpretation in classical IN for the GSTN where intelligence solely rests in central entities. There the terminals are just dumb telephony sets without any processing power.

With terminals becoming powerful processing units due to technical evolution, an embodiment of the invention includes a corresponding application execution environment (application execution component) that works across a wide range of intelligent terminals and enables the technical feasibility of delegating service execution to a spectrum of different intelligent terminals.

#### {Terminology:

}User services are services to the end user resulting from the interworking of network-aware applications which operate above IP-Model Layer 3 (typically even above IP-Model Layer 5) in intelligent terminals at the edges of the network{. Examples: email, →}, [e.g. e-mail,] WWW, Buddy Lists, Internet Telephony, [etc]{(→)}.

Network services add value to the basic service of “IP- transport”. These services are provided at IP-Model Layers 2 & 3 by network elements which operate inside the network{. Examples: →}, [e.g.] QoS, security, VPN, [etc]{(→)}.

The invention is concerned with an architecture for the provision of user services to IP-based intelligent terminals, not with the provision of network services.

For the purpose of the invention it is sufficient to treat the IP-based network as an entity providing IP-connectivity only. The network is considered to be a pure transport network offering no specific network services.

### **3.1 General architecture**

#### **3.1.1 Architectural elements**

**The general architecture involves only a small number of network entities as illustrated in Fig. 1:**

#### **IP-based Intelligent Terminals:**

#### **This is} [SUMMARY OF THE INVENTION**

It is an object of the present invention to offer a system that is not concerned with the fundamental processes involved in making a call, which functionality is provided by the underlying Internet Telephony package used.

It is another object of the invention to provide a standard interface that enables supplementary services to interact with all telephony packages in the same manner, without the need for any package-specific code in the services and whilst keeping the service/package interaction as simple as possible.

It is an additional object of the invention to offer the ability to download a user-specific set of small application programs from a central server into the user's end system.



It is yet another object of the invention to limit the supplementary services  
a

user has access to and to charge the user for the services used.

It is yet a further object of the invention to offer the ability to execute the downloaded programs that implement a set of supplementary services on the user's machine, in a secure environment and regardless of the machine platform.

It is yet an additional object of the invention to offer an overall control process that allows multiple, potentially conflicting, supplementary services to be executing at the same time without problem. The user is able to assign and change the relative priority of services within the service set and thus modify how potential conflicts are to be resolved.

It is still another object of the invention to offer the ability for individual supplementary services in the downloaded service set to be configured by the user. This configuration includes setting service-specific parameters, setting the relative priority of services within the set of supplementary services and the ability to enable and disable individual services on a temporary basis.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows elements of an architecture for the provision of use services in next generation networks;

Figure 2 shows architecture for the provision of user services in "idle state":  
ready to enable a user with his specific service profile when he connects with his intelligent terminal to a network access point;

Figure 3 shows step-by-step service delivery: (user authentication (“user ID-to-service profile” mapping (“service profile-to-applications bundle” mapping (delivery of user specific applications bundle;

Figure 4 shows service delivery completed: the user is ready to use his personal profile of user services;

Figure 5 shows detailed view of the Application Execution Environment (AXE);

Figure 6 shows minimal architecture for Internet Telephony; and

Figure 7 shows architecture for supplementary services in Internet Telephony.

#### **DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS**

The general architecture involves only a small number of network entities as illustrated in Fig. 1, which shows] a network entity with its own IP address, memory, and some sort of CPU. For the near future, ~~{this}~~ **[these]** are the numerous workstations, PCs, lap- & palmtops, IP-(video)phone sets, TV set-top boxes, mobile communication devices that run some sort of operating system and become -following Moore's Law - equipped with ever more powerful CPUs every 18 months. Each of these terminals understands some variant of Java. Today, the Java Virtual Machine (JVM) is already a standard part of most operating systems or gets molded into dedicated silicon chips for small devices like mobile communication devices. There is and will be a wealth of terminals available to the user. Each of them far more “intelligent” than the standard phone handset of today. The proposed architecture will

therefore make use of this “free and idle intelligence” by delegating execution of user services to it.

#### ~~{Network Access Points:~~

}Depending on the particular intelligent terminal considered, this is a LAN connector, a dial-up POP of an ISP, a lap/palmtop docking unit, a base station for mobile communication, etc. In the proposed architecture the sole purpose of the network access point is to provide IP-network connectivity.

Given the possibility of users roaming from access point to access point, getting dynamically assigned {B} [IP]-address while at the same time switching between PC, laptop, mobile communication device,{. . .} [etc.], the access does not handle advanced tasks such as authentication or any other user specific things.

#### ~~{User Service Merchant:~~

}If the execution of user services is delegated to the intelligent terminals, and the network access point provides just pure IP-connectivity, then the intelligence beyond mere execution of user services must be kept elsewhere. This is the User Service Merchant. The User Service Merchant acts as a central source for user specific information like user ID, authentication data, profile of subscribed user services, billing information, etc. It also keeps “master copies” of the application executables in a dedicated repository (see next section for a detailed explanation). The User Service Merchant provides all the logic necessary to provision services to the user but does not actually perform the services.

In the existing classical IN for the GSTN, the intelligence for service provision and execution are unified and centralized in a few network entities. This is opposite to the solution proposed here, where the intelligence is split and decentralized: provision of user services is handled centrally by the User Service Merchant, the actual execution of user services is delegated to the distributed intelligent terminals.

### ~~3.1.2 Distributed Model for Provision and Execution of User Services~~

#### 3.1.2.1 "Idle" State

Fig. 2 shows the architecture in "idle state". The User Service Merchant is connected to the network, the user's intelligent terminal (PC, laptop, mobile communication device, ...) may or may not be connected to the network via a network access point. At this point the User Service Merchant considers the terminal as "off-line" (in Fig. 2 represented in italics) in either case.

The User Service Merchant keeps a list of user IDs (UID). An UID uniquely identifies a subscriber and indirectly associates him with a certain bundle of pre-selected applications. The information about the exact selection of applications is kept as an UID-associated Service Profile for each individual subscriber on the User Service Merchant.

The User Service Merchant also maintains an Application Repository which basically is a collection of application programs stored in a suitable database system. Each program either implements a self-contained user service, or some value-adding



3.1.2.2 ~~Service Delivery~~ Service delivery with this type of architecture is shown in Fig.

3. ~~{The steps involved are:}~~ **[Steps involved with this type of architecture include:**

**User Authentication, UID-to-Service Profile Mapping, Service Profile-to-Applications Bundle Mapping, and Delivery of User Specific Applications Bundle.]**

~~{{1}} User Authentication~~ **[User Authentication:]**

Before a user can use any of the services he has to authenticate himself by providing his UID (and related password/PIN) to the Service Merchant. In the case of a permanently connected PC this can be done by clicking on a corresponding button. In the case of a laptop or a mobile communication device, this can be done automatically when it gets connected to the Network Access Point.

~~{{2}} UID-to-Service Profile { }~~ **Mapping[:]**

After the UID (dark gray triangle) has been verified, it is mapped to the corresponding Service Profile of the user. The Service Profile (light gray blobs) represents the user's personalized applications bundle compiled from the total set of available applications (dark gray blobs) in the Application Repository. Via the Service Profile the user can create his very personal VHE. The profile is not static but can be customized and administered by the user directly from his intelligent terminal.

~~{{3}} Service Profile-to—Applications Bundle { }~~ **Mapping[:]**

Based on the information in the user's Service Profile the User Service Merchant identifies a subset of the applications available in the Application Repository. The identified subset (circled dark gray blobs) is the personalized bundle of user services.

~~{{4}}~~ **Delivery of User Specific Applications Bundle[:]**

The final step is to download the selected bundle of applications over the network into the user's intelligent terminal. The Java software technology was designed with exactly this download capability of programs in mind. Related issues such as platform independence, efficiency and security when transmitting code over a network are an integral part of the Java design. Together with its increasing availability in intelligent terminals, this makes Java the prime candidate to support the type of architecture for the delivery of user services discussed in this document.

#### ~~{3.1.2.3 "Ready" State~~

}Fig. 4 shows the architecture in the "ready state", the final stage of the service provisioning process. The programs enabling the subscribed user services have been downloaded into his intelligent terminal and await their invocation and execution there.

#### ~~{3.1.3 Advantages~~

}As end systems become equipped with ever more powerful processors, it is just reasonable to exploit this power by having the services execute on the end systems rather than some central network entity. Restraining themselves to service delivery issues rather than execution central network entities face less stringent scalability problems.

Furthermore, with this approach central network entities like the User Service Merchant are out of the loop of rapid technology changes in intelligent terminals like PCs, laptops, mobile communication devices, etc. If service specific details regarding the intelligent terminals change, only the affected modules of the programs in the

Application Repository have to be adapted. The User Service Merchant as a whole with its user administration, authentication, application repository & delivery functions is not affected at all. This modular approach to the provision of user services is nicely supported by the dynamic loading of required classes available in Java. Not even the whole program has to be replaced but just the affected class file.

#### ~~{3.1.4 Application Execution Environment (AXE)~~

}With execution of user services delegated to the intelligent terminals in the form of downloadable application programs, it becomes important to devise a corresponding Application execution environment that works across a wide range of technologically different intelligent terminals. The Application execution environment is ~~{therefore}~~ **[therefore]** implemented as a virtual machine, for example as a java virtual machine. [

]The virtual machine has to be ported to each supported terminal only once. To the applications the virtual machine looks the same on. all supported terminals.

Fig. 5 shows a correspondingly detailed view of an intelligent terminal for the case of a PC running the Windows operating system by Microsoft.

The downloaded application programs that implement a particular user service are Java applets (dark gray blobs) **[which]**~~{~~

~~They}~~ are executed in the Application Execution Environment (AXE) on the intelligent terminal. Communication to and from the user is done via a graphical user interface (GUI) shared by all user services. The downloaded programs are confined within the AXE (so-called 'sandbox' approach) and do not communicate to lower software layers other than through a specific AXE API. The platform dependent connection to the



Windows DLLs is achieved by a Java/COM interface taking AXE API commands as input. This interface has to be written only once (e.g. in C++) for each supported intelligent terminal. The interface forms the specific link between the platform independent user services implemented in Java and the actual operating system and hardware of the terminal.

### ~~{3.2 ARCHITECTURE FOR SUPPLEMENTARY SERVICES IN INTERNET TELEPHONY~~

}This evolves the general architecture ~~{of section 3.1}~~ further in the case of supplementary services for Internet Telephony.

#### ~~{3.2.1 Prerequisites~~

##### ~~3.2.1.1 Status Quo~~

}Today, Internet Telephony is still lacking the elaborate set of supplementary services offered by the Intelligent Network (IN) approach in the Global Switched Telephone Network (GSTN). Internet Telephony is mainly used to provide an analogy for telephony over the CSTN.

#### ~~{3.2.1.2 Minimal Architecture for Internet Telephony~~

}Fig. 5 shows a minimal configuration by which Internet Telephony (and, in particular, Microsoft (MS) NetMeeting) calls are arranged.

In this arrangement, there are three PCs needed{+}[, namely,] the Internet Locator Service (ILS) Server[,] and the originating and terminating end stations. The end stations are involved actively in the communication session, transcoding audio streams (and, optionally, video streams or data) into a form that can be carried over the Internet. {

}The ILS (Internet Locator Service) Server acts as a directory server in the configuration. This node stores information on the users who are "logged in". Via the ILS users are associated with an Internet host, and that Internet host is running an Internet Telephony application and so is ready to respond to incoming call requests. When a user asks for an Internet Telephony call to be originated, an address query is sent to the directory server with the "alias" (usually the email identifier) of their intended correspondent. The ILS then responds with the user's currently associated IP-address.

{

### 3.2.1.3 Distinctive Features of Supplementary Services in Internet Telephony

}With Internet Telephony[,] a number of distinctive features for supplementary services become possible that are not available in the case of GSTN[.]{:

~~Supplementary services for Internet Telephony are applications}~~

The important point to note here is that user services, in this particular case supplementary services for Internet Telephony, are associated with applications running on intelligent terminals, not some sort of central network entity.

~~{Simple service usage~~

}Use of the Internet allows a great deal of complexity in the provision of supplementary services to be avoided. Many of the services that require user interaction in the GSTN require temporary connections to be made to specialized resources (such as announcement units and DTMF tone decoders), and for any captured information to be sent onwards to service processors using separate data links. All of this is avoided because, on the Internet, one can assume that a user is associated with an end station that allows involved text and graphics to be displayed, and that the end station is capable of transferring complex messages directly, rather than being restricted to a simple keypad.

#### ~~{Delegation of service processing to powerful end systems~~

}There is another implication of the use of the Internet when providing supplementary services. Internet hosts are computers, and so will almost certainly have powerful processors. This opens the possibility of carrying out much of the processing on the end stations rather than relying on the network nodes (such as the LX or the IN) as is required in the GSTN due to the lack of local intelligence in the [ ]POTS telephones.{

#### ~~Advanced supplementary services by combination with standard IT applications}~~

Combination of basic Internet Telephony with other Internet applications such as email enables yet another completely different set of truly advanced supplementary services.

#### ~~{3.2.2 Architecture~~

}The architecture proposed here implements and extends the more general architecture  
{of section 3.1} for the case of supplementary services for Internet Telephony.

The architecture is concerned with an architecture to support the provision of supplementary services for Internet Telephony. It is not concerned with providing a solution for basic Internet Telephony calls. This functionality will be taken from some off-the-shelf Internet Telephony application package like Microsoft (MS) NetMeeting.

The proposed architecture contains an infrastructure for distributing service logic (in the form of Java applets) to the end stations, and for allowing the service logic and it's management infrastructure to operate in a distributed fashion (both in the end stations and in separate servers). The distributed service logic supports and interworks with the aforementioned Internet Telephony application package.

Fig. 7 shows the general arrangement of systems providing Internet Telephony, with one of the end stations (shown at the right) also having the enhancements to support supplementary services for Internet Telephony. The architecture contains the  
{following entities:

#### User End Stations

The} two end stations {run} **[discussed above, each running]** off-the-shelf MS NetMeeting Internet Telephony application packages, where at least one application is enhanced with the J/Direct Interface and the Application Execution Environment (AXE).

{  
LS Server} The server offers directory services for translation of a symbolic [

]user alias (e.g. email address) to the user's current IP address. The ILS is used to allow for easy to remember Telephony "numbers" and hence supports convenient call setup by the user.

{

~~User Service Merchant~~

}The User Service Merchant acts as a repository for the supplementary services for Internet Telephony. The services are stored as pre-compiled programs (in the form of Java applets), each implementing a specific service logic. On demand by the user, these service logic units are downloaded into the user's end stations for execution there. The User Service Merchant also keeps track of which user is entitled to use which supplementary service.

When a user requests a particular Internet Telephony supplementary service, the Java applet with the corresponding service logic is downloaded from the Service Merchant into the AXE which sits on top of the user's Internet Telephony application package. The applet runs in the AXE and drives the telephony application package according to the applet's service logic through the J/Direct interface. The J/Direct interface allows for the service logic to access the Internet Telephony libraries of the underlying application package.

### ~~{3.2.3 Key features and Benefits~~

- ~~The proposed solution is not concerned with the fundamental processes involved in making a call (that functionality is to be provided by the underlying Internet Telephony package used). Part of the solution is however the provision of a standard interface that enables supplementary services to interact with all telephony packages in the same~~

manner, without the need for any package-specific code in the services and whilst keeping the service/package interaction as simple as possible.

- The ability to download a user-specific set of small application programs from a central server into the user's end system.
- The ability to limit the supplementary services a user has access to and to charge the user for the services used.
- The ability to execute the downloaded programs that implement a set of supplementary services on the user's machine, in a secure environment and regardless of the machine platform.
- An overall control process that allows multiple, potentially conflicting, supplementary services to be executing at the same time without problem. The user is able to assign and change the relative priority of services within the service set and thus modify how potential conflicts are to be resolved.
- The ability for individual supplementary services in the downloaded service set to be configured by the user. This configuration includes setting service-specific parameters, setting the relative priority of services within the set of supplementary services and the ability to enable and disable individual services on a temporary basis.

### 3.2.4 Sample Supplementary Services for Internet Telephony

Given the proposed architecture} **[Given the architecture of the present invention]**, a wealth of supplementary services for Internet Telephony can be provided{.} [.] The set of sample Internet Telephony supplementary services listed below are aimed at combining email and Internet Telephony. The services can be grouped into

“originating” and “terminating” services depending on whether the caller or callee uses them.

~~{Email Alternative on Called Party Busy (originating)}~~

}This originating service is triggered when the callee is already engaged in a call.

Although the user may have rejected the offered call explicitly, the result (inability to communicate) is the same, and this service suffices in both cases. The caller is asked whether or not he wants to send an email instead. If the caller answers in the affirmative, then the rest of the service proceeds. The conference and call objects created by NetMeeting hold information on the called user alias, and this is used to make a template for an email to be filled in by the caller. This template is displayed on the user interface, and, on entry of the email content, is submitted for transmission. By implication, the service logic must include a small email client to send the email to an SMTP server.

~~{Timed Retry on Called Party Busy (originating)}~~

}As in the previous case, this supplementary service is triggered when a call rejection has been received from the remote callee. In this case, however, it will display a user interface dialogue asking the calling subscriber if they {

}would like the system to retry the callee’s address at regular intervals. If the answer returned is “yes”, then the service proceeds. A timer is started, and on its expiry, the call is re-initiated. After that, the service monitors the call state, and, if the call is successful, the user is notified. If it again fails, the timer is reset, and the sequence repeats. A choice on the user interface to be presented to allow for canceling of the sequence once it has could be an additional feature.

f

#### Call Filtering (terminating)

}This terminating supplementary service is used to process calls delivered to the end station in terms of the caller's identity. In this case, it will be used to "auto-reject" call offers from people not on a list of names generated as a preservice procedure. The list can contain explicit caller IDs or [can] include mechanisms to reject calls from people who have arranged their Internet Telephony client not to pass on their identity (by the expedient of not registering with an ILS server prior to [making the call, in the case of NetMeeting).{

#### Email Notification of Missed Calls (terminating)}

This terminating supplementary service allows a subscriber (callee) to be informed by email of the identities of the people who have called him whilst he was unable (or unwilling) to answer his Internet Telephony program. The supplementary service will extract the name of the caller from the call data that NetMeeting provides (as well as making a note of the current time), and then construct an email describing this information. Once this is ready, it will connect to the callee's SMTP server, will send the text it has created, and then finally terminate the connection. Enhancements of this service could be used to send other kinds of notification (such as, for example, contacting a Web-based gateway to the GSM Short Message Service (SMS) and sending an SMS message with the caller's identity).

{Abbreviations:} [Although modifications and changes may be suggested by those skilled in the art to which this invention pertains, it is the intention of the



inventors to embody within the patent warranted hereon all changes and  
modifications that may reasonably and properly come under the scope of their  
contribution to the art. - - ]

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## **[- - ABSTRACT OF THE DISCLOSURE]**

[A system for realization of user services in a next generation IP-based network, involving a function split in realizing services. Providing] of user services is done by a server in the core { } of the network[. Execution]{, -executing} of user services is done by terminals at the { } network edges. [The system is ]

Abstract

~~Service System in a network~~

~~A System for the realization of user services in a network~~

~~must be} able to cope with ever changing user services [and] {for-  
} even more rapidly evolving terminal hardware. [- -]{This goal is achieved by a function  
split in realizing services. Providing of user services is done by a server in  
the core of the network, while executing of user services is  
done by terminals at the network edges.~~

Figure 3}

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## Description

Service System in a network5 1. Introduction

10 In IP-based communication networks (for telecommunication and/or data), Network equipment vendors will add value to their products by providing distributed Network Services (e.g. QoS, security, reliability, roaming, remote access,...) to enhance basic-IP connectivity.

15 Independent 3rd party companies will provide Network Applications (e.g. communication, collaborative working, e-commerce, on-line gaming, distance learning,...) for clients/servers that transparently use the network infrastructure as a mere transport facility based on open standards and protocols.

20 The aforementioned facts require a flexible architecture for the provision and execution of user services in IP-based networks for telecommunication and data (converged networks).

2. State of the art

25 In existing solutions for user service provisioning e.g. in telecommunication networks like the GSTN (Global Switched Telephony Network), the intelligence for user service provision and execution are unified and centralized in a few network entities:

- In the Local Exchange LX approach (providing "switch-based services") the service processing is tightly coupled with normal call processing.
  - In the Intelligent Network (IN) approach the call processing is separated. The combination of the Service Switching Function (SSF) and Service Control Function (SCF)
- 35

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work to process services by detection of traversal of points in a call and by manipulation of the call state.

In the GSTN, said user services are called supplementary services. In general, these supplementary services automate procedures that people carry out when using "basic" telephony. In other words, supplementary services are features that make basic telephony calls more convenient

### 3. The Invention

Next generation IP-based networks will have two important characteristics:

- mobility of users (with or without their terminals)
- a wealth of intelligent terminals (PC, PDA, laptop, mobile phone with Java Virtual Machine (JVM),...)

These characteristics drive requirements for the benefit of the user and network:

#### User Requirements:

- Roaming of nomadic users combined with transparent co-migration of their Virtual Home Environment (VHE). The VHE is a user's personal set of subscribed applications, his personal options of how these applications are configured and bundled into a user services package, and his personal choice how he wants to pay for the use of these user services.
- Intelligent communication terminals like a PC, PDA, lap/palmtop, mobile phone with Java Virtual Machine (JVM) etc.
- A user may not be limited to a single type of intelligent terminal but instead will want to use what is most appropriate or what is available at a particular location.
- Despite changing terminals at will, the user always wants to have the same services available regardless of the particular end device used.

Network Requirements:

Due to the flexible nature of IP-based networks, the number of possible applications and user services running on intelligent terminals is enormous. Programmers are constantly implementing new ideas based on inexpensive, off-the-shelf platforms for intelligent terminals (e.g. PCs).

An architecture for the provision of user services must be able to cope with ever changing applications and user services for even more rapidly evolving terminal hardware. As the network edges are changing so fast, it will become impossible to provide and execute user services in the core of the network. Core equipment is subject to more stringent requirements than consumer-grade, low-cost edge equipment (terminals) and hence more expensive. Creation of applications and corresponding user services (supplementary services in the case of Internet Telephony) on core equipment would technologically and economically not be able to keep up with the rapid creation of new applications and user services on inexpensive edge equipment.

The object of the present invention is to solve said problems.

In the following, the invention is described by the aid of a few examples of its embodiments by referring to the attached drawing comprising seven figures.

Figure 1: Elements of an architecture for the provision of use services in next generation networks.

Figure 2: Architecture for the provision of user services in "idle state": ready to enable a user with his specific service profile when he connects with his intelligent terminal to a network access point.

Figure 3: Step-by-step service delivery: ( user authentication ( "user ID-to-service profile" mapping ( "service profile-to-applications bundle" mapping ( delivery of user specific applications bundle.

Figure 4: Service delivery completed: the user is ready to use his personal profile of user services.

Figure 5: Detailed view of the Application Execution Environment (AXE).

Figure 6: Minimal architecture for Internet Telephony.

Figure 7: Architecture for supplementary services in Internet Telephony

The principle idea of the invention is the function split in realizing services:

providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network, while the execution of user services is delegated to intelligent terminals at the network edges.

Since by now terminals have become powerful processing units anyway, it is economically and technically reasonable to delegate the execution of user services to intelligent terminals at the network edges. However providing of user services (e.g. enabling, profiling, distribution, administering and billing of user services) remains in the core of the network.

Technically this is achieved by a corresponding client/server architecture with a least one central application repository server keeping copies of application programs that can be downloaded on-demand into the user's terminals.

This architecture for the provision of user services in next generation IP-based networks for telecommunication and/or data meets all the aforementioned user and network requirements.

The invention optimally makes use of the two sources of intelligence that will be present in such networks:

- distributed intelligence in network elements (such as routers and switches) adding value to basic IP-connectivity
- intelligence in end systems (clients, servers) allowing for a wealth of advanced applications

This is a new notion of "network intelligence" different from the interpretation in classical IN for the GSTN where intelligence solely rests in central entities. There the terminals are just dumb telephony sets without any processing power.

With terminals becoming powerful processing units due to technical evolution, an embodiment of the invention includes a corresponding application execution environment (application execution component) that works across a wide range of intelligent terminals and enables the technical feasibility of delegating service execution to a spectrum of different intelligent terminals.

#### Terminology:

User services are services to the end user resulting from the interworking of network-aware applications which operate above IP-Model Layer 3 (typically even above IP-Model Layer 5) in intelligent terminals at the edges of the network. Examples: email, WWW, Buddy Lists, Internet Telephony, ...

Network services add value to the basic service of "IP-transport". These services are provided at IP-Model Layers 2

& 3 by network elements which operate inside the network.  
Examples: QoS, security, VPN,...

The invention is concerned with an architecture for the  
5 provision of user services to IP-based intelligent terminals,  
not with the provision of network services.

For the purpose of the invention it is sufficient to treat  
the IP-based network as an entity providing IP-connectivity  
10 only. The network is considered to be a pure transport  
network offering no specific network services.

### 3.1 General architecture

#### 3.1.1 Architectural elements

The general architecture involves only a small number of  
network entities as illustrated in Fig. 1:

#### IP-based Intelligent Terminals:

This is a network entity with its own IP address, memory, and  
some sort of CPU. For the near future, this are the numerous  
workstations, PCs, lap- & palmtops, IP-(video)phone sets, TV  
set-top boxes, mobile communication devices that run some  
25 sort of operating system and become - following Moore's Law -  
equipped with ever more powerful CPUs every 18 months.  
Each of these terminals understands some variant of Java.  
Today, the Java Virtual Machine (JVM) is already a standard  
part of most operating systems or gets molded into dedicated  
30 silicon chips for small devices like mobile communication  
devices.

There is and will be a wealth of terminals available to the  
user. Each of them far more "intelligent" than the standard  
phone handset of today. The proposed architecture will  
35 therefore make use of this "free and idle intelligence" by  
delegating execution of user services to it.



Network Access Points:

Depending on the particular intelligent terminal considered, this is a LAN connector, a dial-up POP of an ISP, a lap/palmtop docking unit, a base station for mobile communication, etc. In the proposed architecture the sole purpose of the network access point is to provide IP-network connectivity.

Given the possibility of users roaming from access point to access point, getting dynamically assigned IP-address while at the same time switching between PC, laptop, mobile communication device,... , the access does not handle advanced tasks such as authentication or any other user specific things.

User Service Merchant:

If the execution of user services is delegated to the intelligent terminals, and the network access point provides just pure IP-connectivity, then the intelligence beyond mere execution of user services must be kept elsewhere. This is the User Service Merchant. The User Service Merchant acts as a central source for user specific information like user ID, authentication data, profile of subscribed user services, billing information, etc. It also keeps "master copies" of the application executables in a dedicated repository (see next section for a detailed explanation). The User Service Merchant provides all the logic necessary to provision services to the user but does not actually perform the services.

In the existing classical IN for the GSTN, the intelligence for service provision and execution are unified and centralized in a few network entities. This is opposite to the solution proposed here, where the intelligence is split and decentralized: provision of user services is handled centrally by the User Service Merchant, the actual execution of user services is delegated to the distributed intelligent terminals.

### 3.1.2 Distributed Model for Provision and Execution of User Services

#### 5 3.1.2.1 "Idle" State

Fig. 2 shows the architecture in "idle state". The User Service Merchant is connected to the network, the user's intelligent terminal (PC, laptop, mobile communication  
10 device,...) may or may not be connected to the network via a network access point. At this point the User Service Merchant considers the terminal as "off-line" (in Fig. 2 represented in italics) in either case.

15 The User Service Merchant keeps a list of user IDs (UID). An UID uniquely identifies a subscriber and indirectly associates him with a certain bundle of pre-selected applications. The information about the exact selection of applications is kept as an UID-associated Service Profile for  
20 each individual subscriber on the User Service Merchant.

The User Service Merchant also maintains an Application Repository which basically is a collection of application programs stored in a suitable database system. Each program  
25 either implements a self-contained user service, or some value-adding supplementary feature for such a service (example: the self-contained service could be "text-only Web browsing" for mobile communication appliances, the supplementary feature could be "optional graphics support for  
30 Web browsing" with the same appliance). The programs are implemented such that an instance of them can be downloaded on demand from the repository into the user's intelligent terminal for execution. This may be achieved by technologies such as Java.

35

A user can (un)subscribe to any of the available application programs in the repository by correspondingly changing the

configuration of his UID-associated Service Profile. This update of the Service Profile is propagated to the User Service Merchant for permanent storage when the user terminates his session or when he takes his intelligent terminal off-line. The Service Profile is not stored on the user's intelligent terminal to allow for easy roaming or switching between different intelligent terminals. With the Service Profile kept centrally on the User Service Merchant the user always gets the same Service Profile regardless whether he accesses the network e.g. from his office PC, from a public information kiosk, from a mobile communication device, or from home. Changes to the Service Profile made from one location/terminal will be available automatically at any other location/terminal. This concept of a Service Profile transparently migrating with the user across different locations and end systems is referred to as Virtual Home Environment (VHE).

#### 3.1.2.2 Service Delivery

Service delivery with this type of architecture is shown in Fig. 3. The steps involved are:

##### (1) User Authentication

Before a user can use any of the services he has to authenticate himself by providing his UID (and related password/PIN) to the Service Merchant. In the case of a permanently connected PC this can be done by clicking on a corresponding button. In the case of a laptop or a mobile communication device, this can be done automatically when it gets connected to the Network Access Point.

##### (2) "UID-to-Service Profile" Mapping

After the UID (dark gray triangle) has been verified, it is mapped to the corresponding Service Profile of the user. The Service Profile (light gray blobs) represents the user's personalized applications bundle compiled from the total set

of available applications (dark gray blobs) in the Application Repository. Via the Service Profile the user can create his very personal VHE. The profile is not static but can be customized and administered by the user directly from his intelligent terminal.

### (3) "Service Profile-to-Applications Bundle" Mapping

Based on the information in the user's Service Profile the User Service Merchant identifies a subset of the applications available in the Application Repository. The identified subset (circled dark gray blobs) is the personalized bundle of user services.

(4) Delivery of User Specific Applications BundleThe final step is to download the selected bundle of applications over the network into the user's intelligent terminal. The Java software technology was designed with exactly this download capability of programs in mind. Related issues such as platform independence, efficiency and security when transmitting code over a network are an integral part of the Java design. Together with its increasing availability in intelligent terminals, this makes Java the prime candidate to support the type of architecture for the delivery of user services discussed in this document.

### 3.1.2.3 "Ready" State

Fig. 4 shows the architecture in the "ready state", the final stage of the service provisioning process. The programs enabling the subscribed user services have been downloaded into his intelligent terminal and await their invocation and execution there.

### 3.1.3 Advantages

As end systems become equipped with ever more powerful processors, it is just reasonable to exploit this power by having the services execute on the end systems rather than

some central network entity. Restraining themselves to service delivery issues rather than execution central network entities face less stringent scalability problems.

5 Furthermore, with this approach central network entities like the User Service Merchant are out of the loop of rapid technology changes in intelligent terminals like PCs, laptops, mobile communication devices, etc. If service specific details regarding the intelligent terminals change, only the affected modules of the programs in the Application Repository have to be adapted. The User Service Merchant as a whole with its user administration, authentication, application repository & delivery functions is not affected at all. This modular approach to the provision of user services is nicely supported by the dynamic loading of required classes available in Java. Not even the whole program has to be replaced but just the affected class file.

#### 3.1.4 Application Execution Environment (AXE)

20 With execution of user services delegated to the intelligent terminals in the form of downloadable application programs, it becomes important to devise a corresponding Application execution environment that works across a wide range of technologically different intelligent terminals. The Application execution environment is therefore implemented as a virtual machine, for example as a java virtual machine. The virtual machine has to be ported to each supported terminal only once. To the applications the virtual machine looks the same on all supported terminals.

Fig. 5 shows a correspondingly detailed view of an intelligent terminal for the case of a PC running the Windows operating system by Microsoft.

The downloaded application programs that implement a particular user service are Java applets (dark gray blobs).

They are executed in the Application Execution Environment (AXE) on the intelligent terminal. Communication to and from the user is done via a graphical user interface (GUI) shared by all user services. The downloaded programs are confined within the AXE (so-called 'sandbox' approach) and do not communicate to lower software layers other than through a specific AXE API. The platform dependent connection to the Windows DLLs is achieved by a Java/COM interface taking AXE API commands as input. This interface has to be written only once (e.g. in C++) for each supported intelligent terminal. The interface forms the specific link between the platform independent user services implemented in Java and the actual operating system and hardware of the terminal.

### 3.2 ARCHITECTURE FOR SUPPLEMENTARY SERVICES IN INTERNET TELEPHONY

This evolves the general architecture of section 3.1 further in the case of supplementary services for Internet Telephony.

#### 3.2.1 Prerequisites

##### 3.2.1.1 Status Quo

Today, Internet Telephony is still lacking the elaborate set of supplementary services offered by the Intelligent Network (IN) approach in the Global Switched Telephone Network (GSTN). Internet Telephony is mainly used to provide an analogy for telephony over the GSTN.

##### 3.2.1.2 Minimal Architecture for Internet Telephony

Fig. 5 shows a minimal configuration by which Internet Telephony (and, in particular, Microsoft (MS) NetMeeting) calls are arranged.

In this arrangement, there are three PCs needed: the Internet Locator Service (ILS) Server and the originating and

terminating end stations. The end stations are involved actively in the communication session, transcoding audio streams (and, optionally, video streams or data) into a form that can be carried over the Internet.

- 5 The ILS (Internet Locator Service) Server acts as a directory server in the configuration. This node stores information on the users who are "logged in". Via the ILS users are associated with an Internet host, and that Internet host is running an Internet Telephony application and so is ready to respond to incoming call requests. When a user asks for an Internet Telephony call to be originated, an address query is sent to the directory server with the "alias" (usually the email identifier) of their intended correspondent. The ILS then responds with the user's currently associated IP-address.

#### 3.2.1.3 Distinctive Features of Supplementary Services in Internet Telephony

- 20 With Internet Telephony a number of distinctive features for supplementary services become possible that are not available in the case of GSTN:

#### Supplementary services for Internet Telephony are applications

- 25 The important point to note here is that user services, in this particular case supplementary services for Internet Telephony, are associated with applications running on intelligent terminals, not some sort of central network entity.

#### Simple service usage

- 35 Use of the Internet allows a great deal of complexity in the provision of supplementary services to be avoided. Many of the services that require user interaction in the GSTN require temporary connections to be made to specialized resources (such as announcement units and DTMF tone

decoders), and for any captured information to be sent onwards to service processors using separate data links. All of this is avoided because, on the Internet, one can assume that a user is associated with an end station that allows  
5 involved text and graphics to be displayed, and that the end station is capable of transferring complex messages directly, rather than being restricted to a simple keypad.

#### Delegation of service processing to powerful end systems

10 There is another implication of the use of the Internet when providing supplementary services. Internet hosts are computers, and so will almost certainly have powerful processors. This opens the possibility of carrying out much of the processing on the end stations rather than relying on  
15 the network nodes (such as the LX or the IN) as is required in the GSTN due to the lack of local intelligence in the POTS telephones.

#### Advanced supplementary services by combination with standard 20 IT applications

Combination of basic Internet Telephony with other Internet applications such as email enables yet another completely different set of truly advanced supplementary services.

#### 25 3.2.2 Architecture

The architecture proposed here implements and extends the more general architecture of section 3.1 for the case of supplementary services for Internet Telephony.

30 The architecture is concerned with an architecture to support the provision of supplementary services for Internet Telephony. It is not concerned with providing a solution for basic Internet Telephony calls. This functionality will be  
35 taken from some off-the-shelf Internet Telephony application package like Microsoft (MS) NetMeeting.



The proposed architecture contains an infrastructure for distributing service logic (in the form of Java applets) to the end stations, and for allowing the service logic and its management infrastructure to operate in a distributed fashion (both in the end stations and in separate servers). The distributed service logic supports and interworks with the aforementioned Internet Telephony application package.

Fig. 7 shows the general arrangement of systems providing Internet Telephony, with one of the end stations (shown at the right) also having the enhancements to support supplementary services for Internet Telephony. The architecture contains the following entities:

#### User End Stations

The two end stations run off-the-shelf MS NetMeeting Internet Telephony application packages, where at least one application is enhanced with the J/Direct Interface and the Application Execution Environment (AXE).

#### ILS Server

The server offers directory services for translation of a symbolic user alias (e.g. email address) to the user's current IP address. The ILS is used to allow for easy to remember Telephony "numbers" and hence supports convenient call setup by the user.

#### User Service Merchant

The User Service Merchant acts as a repository for the supplementary services for Internet Telephony. The services are stored as pre-compiled programs (in the form of Java applets), each implementing a specific service logic. On demand by the user, these service logic units are downloaded into the user's end stations for execution there. The User Service Merchant also keeps track of which user is entitled to use which supplementary service.

When a user requests a particular Internet Telephony supplementary service, the Java applet with the corresponding service logic is downloaded from the Service Merchant into the AXE which sits on top of the user's Internet Telephony application package. The applet runs in the AXE and drives the telephony application package according to the applet's service logic through the J/Direct interface. The J/Direct interface allows for the service logic to access the Internet Telephony libraries of the underlying application package.

### 3.2.3 Key features and Benefits

- The proposed solution is not concerned with the fundamental processes involved in making a call (that functionality is to be provided by the underlying Internet Telephony package used). Part of the solution is however the provision of a standard interface that enables supplementary services to interact with all telephony packages in the same manner, without the need for any package-specific code in the services and whilst keeping the service/package interaction as simple as possible.
- The ability to download a user-specific set of small application programs from a central server into the user's end system.
- The ability to limit the supplementary services a user has access to and to charge the user for the services used.
- The ability to execute the downloaded programs that implement a set of supplementary services on the user's machine, in a secure environment and regardless of the machine platform.
- An overall control process that allows multiple, potentially conflicting, supplementary services to be executing at the same time without problem. The user is able to assign and change the relative priority of services within the service set and thus modify how potential conflicts are to be resolved.

- The ability for individual supplementary services in the downloaded service set to be configured by the user. This configuration includes setting service-specific parameters, setting the relative priority of services within the set of supplementary services and the ability to enable and disable individual services on a temporary basis.

#### 3.2.4 Sample Supplementary Services for Internet Telephony

- Given the proposed architecture, a wealth of supplementary services for Internet Telephony can be provided: The set of sample Internet Telephony supplementary services listed below are aimed at combining email and Internet Telephony. The services can be grouped into "originating" and "terminating" services depending on whether the caller or callee uses them.

##### Email Alternative on Called Party Busy (originating)

- This originating service is triggered when the callee is already engaged in a call. Although the user may have rejected the offered call explicitly, the result (inability to communicate) is the same, and this service suffices in both cases. The caller is asked whether or not he wants to send an email instead. If the caller answers in the affirmative, then the rest of the service proceeds. The conference and call objects created by NetMeeting hold information on the called user alias, and this is used to make a template for an email to be filled in by the caller. This template is displayed on the user interface, and, on entry of the email content, is submitted for transmission. By implication, the service logic must include a small email client to send the email to an SMTP server.

##### Timed Retry on Called Party Busy (originating)

- As in the previous case, this supplementary service is triggered when a call rejection has been received from the remote callee. In this case, however, it will display a user interface dialogue asking the calling subscriber if they

would like the system to retry the callee's address at regular intervals. If the answer returned is "yes", then the service proceeds. A timer is started, and on its expiry, the call is re-initiated. After that, the service monitors the call state, and, if the call is successful, the user is notified. If it again fails, the timer is reset, and the sequence repeats. A choice on the user interface to be presented to allow for canceling of the sequence once it has could be an additional feature.

#### Call Filtering (terminating)

This terminating supplementary service is used to process calls delivered to the end station in terms of the caller's identity. In this case, it will be used to "auto-reject" call offers from people not on a list of names generated as a pre-service procedure. The list can contain explicit caller IDs or include mechanisms to reject calls from people who have arranged their Internet Telephony client not to pass on their identity (by the expedient of not registering with an ILS server prior to making the call, in the case of NetMeeting).

#### Email Notification of Missed Calls (terminating)

This terminating supplementary service allows a subscriber (callee) to be informed by email of the identities of the people who have called him whilst he was unable (or unwilling) to answer his Internet Telephony program. The supplementary service will extract the name of the caller from the call data that NetMeeting provides (as well as making a note of the current time), and then construct an email describing this information. Once this is ready, it will connect to the callee's SMTP server, will send the text it has created, and then finally terminate the connection. Enhancements of this service could be used to send other kinds of notification (such as, for example, contacting a Web-based gateway to the GSM Short Message Service (SMS) and sending an SMS message with the caller's identity).

Abbreviations:

- 5 IP: Internet Protocol

## Claims

1. Service System in a network comprising:

- at least one server storing application programs, said  
5 application programs implementing user services that may be  
subscribed by a user, said server storing the specific  
services subscribed by a user on a per user basis,
- at least one terminal with access to the network requesting  
10 on demand of a terminal user downloading of application  
programs corresponding to the services the user has  
subscribed to and executing said application programs.

2. Service System as defined in claim 1,  
characterized in that

15 said services are supplementary services to basic user  
services.

3. Service System as defined in claim 1,  
characterized in that

20 said services are supplementary services to the service  
"internet telephony".

4. Service System as defined in any one of claims 1-3,  
characterized in that

25 the specific services can be configured user controlled via  
said terminal.

5. Service System as defined in any one of claims 1-4  
characterized in that

30 a Java System at said server and said terminals supports the  
downloading of said application programs.

6. Server in a network comprising:

- a data base system storing a collection of application programs implementing services that may be subscribed by a user and said data base system storing the specific services subscribed by a user in a profile on a per user basis,
- a transfer component transferring on demand of a user at a terminal application programs to that terminal according to the services subscribed by that user.

7. Server as defined in claim 6, characterized in that said services are supplementary services to basic user services.

8. Server as defined in claim 6, characterized in that said services are supplementary services to internet telephony.

9. Terminal of a network,

- client component requesting on demand of a user downloading of application software from a server, said application sw implementing user services that may be subscribed by a user,
- application execution component executing the downloaded application software to execute a user service and/or a supplementary service of a user service.

11. Terminal as defined in claim 10, characterized in that said application execution component is implemented as a virtual machine.

12 Terminal as defined in claim 10 or 11 characterized in that configuring specific services subscribed by a user can be made via said client component.

13. A method for realizing services in a network, wherein

- providing of user services is done by a server in the core of the network,

5 - executing of user services is done by terminals at the network edges.

14 A method as defined in claim 13,

characterized in that

10 the method is used for the realization of supplementary services for internet telephony.

15. Communication network, comprising

- a core network providing user services,

15 - terminals at the network edges executing user services.

20



Fig. 1

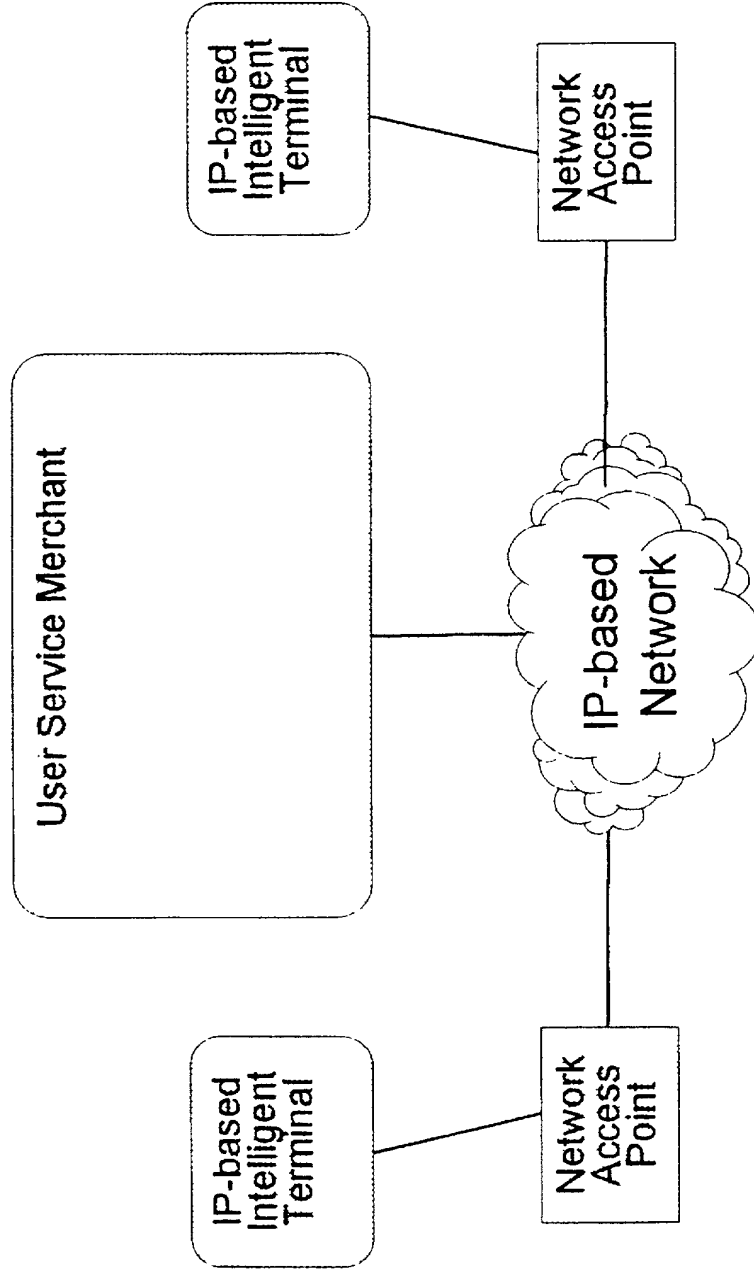


FIG. 2

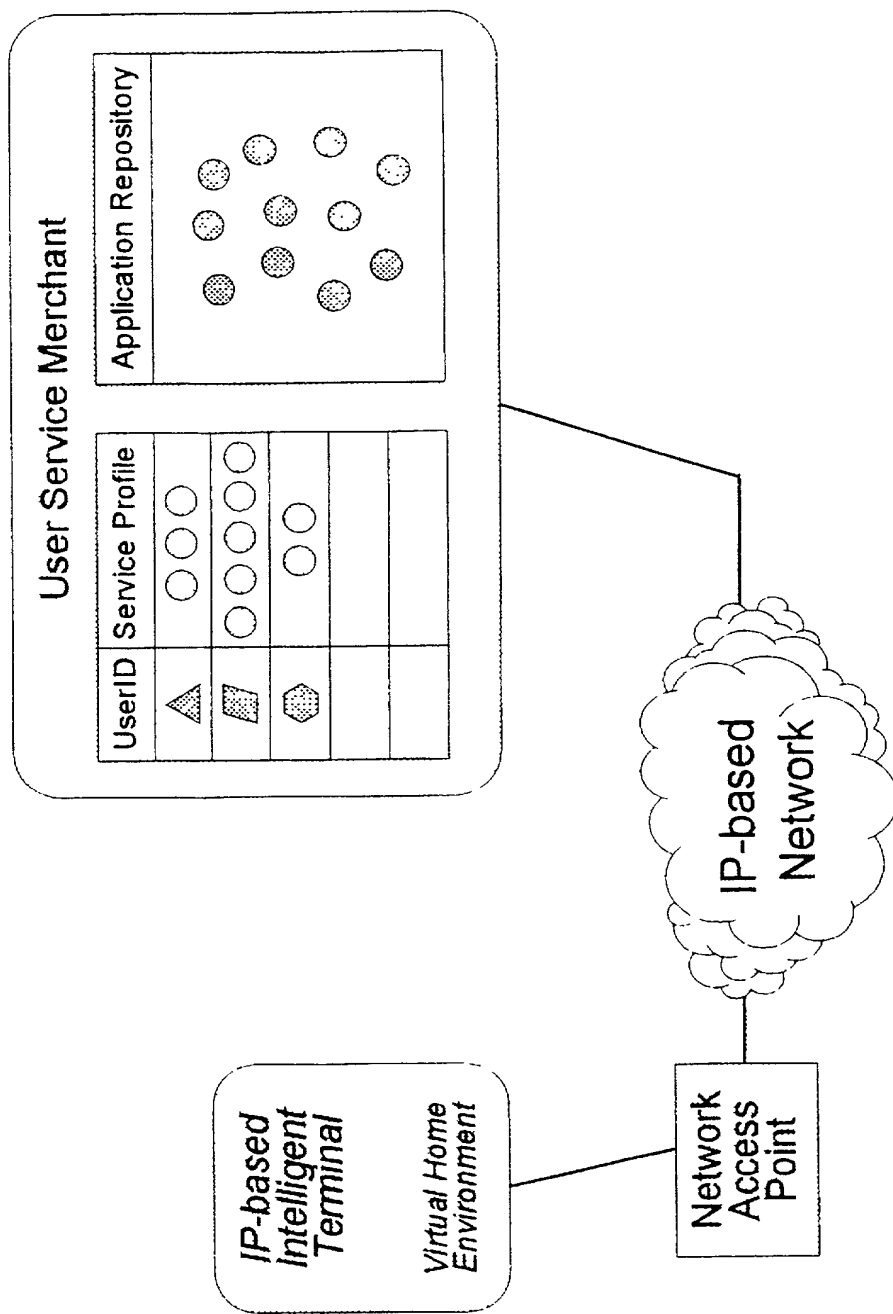


FIG. 3

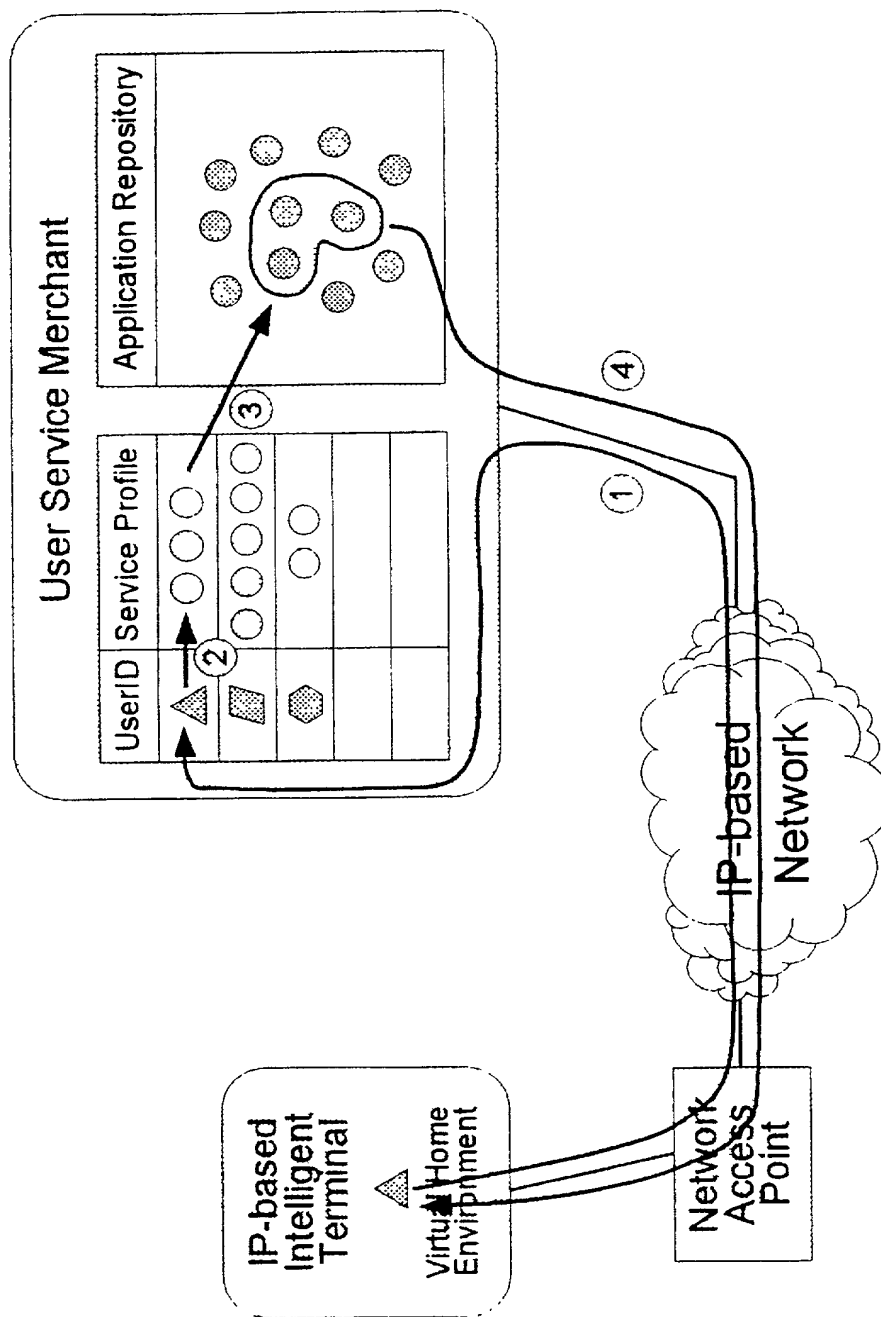
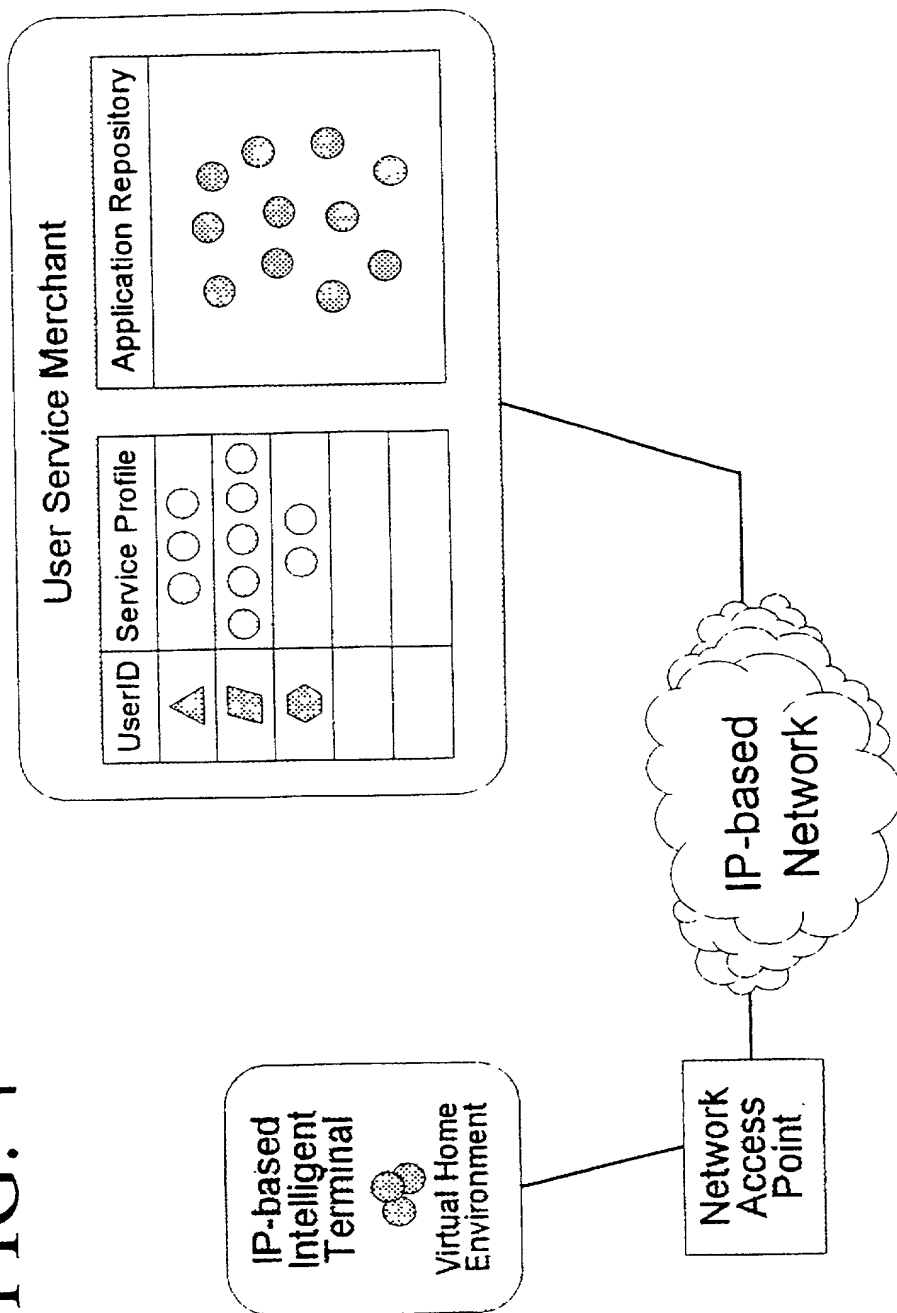


FIG. 4



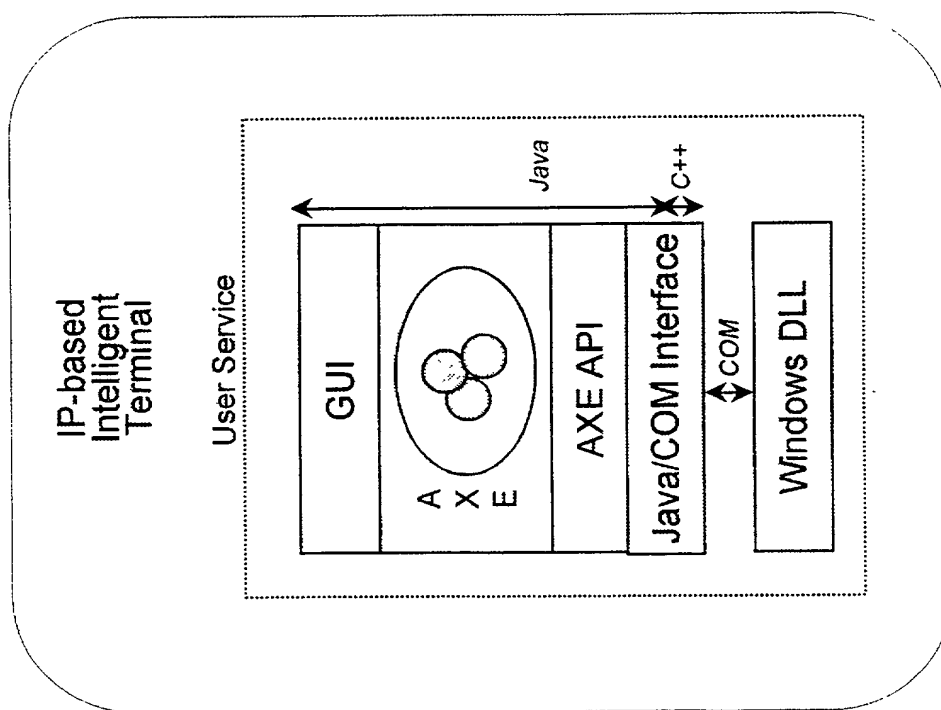
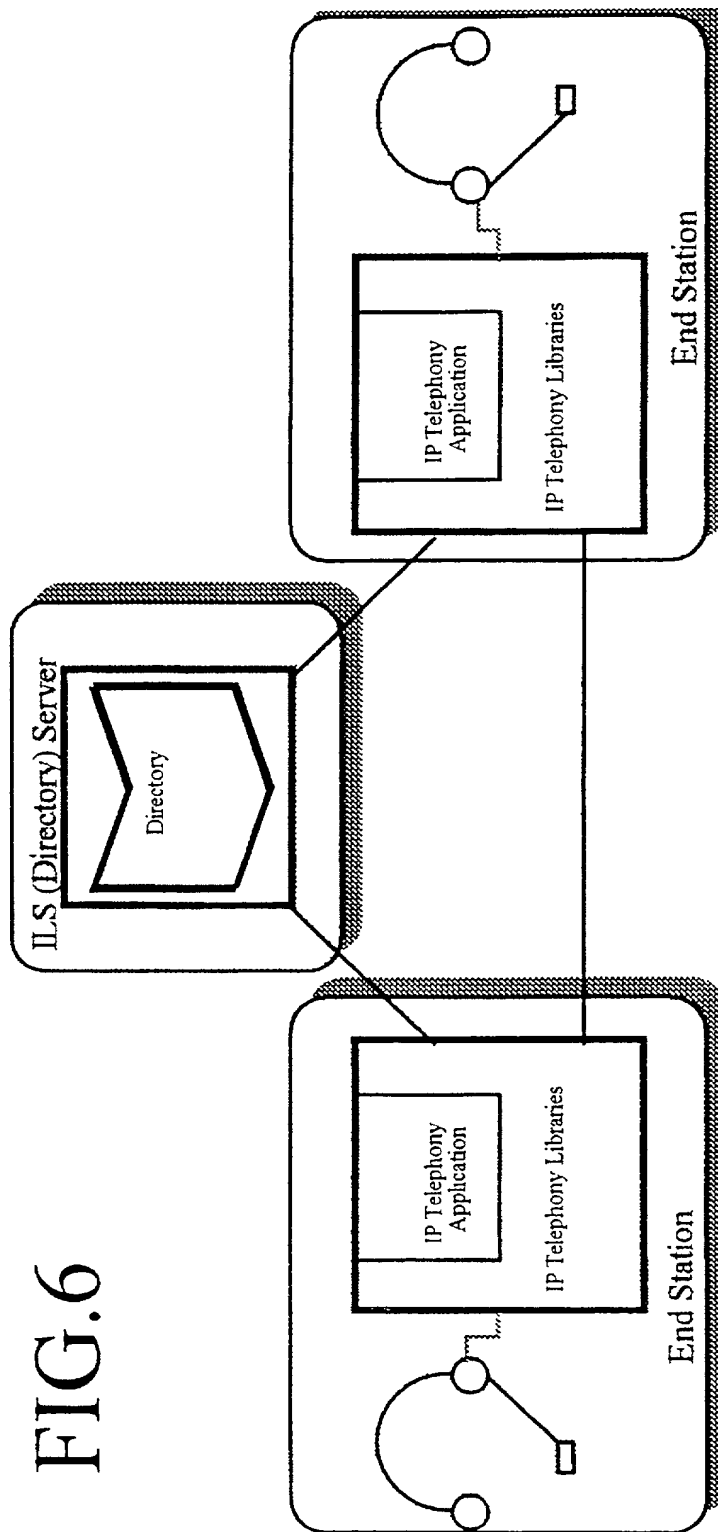


FIG.5



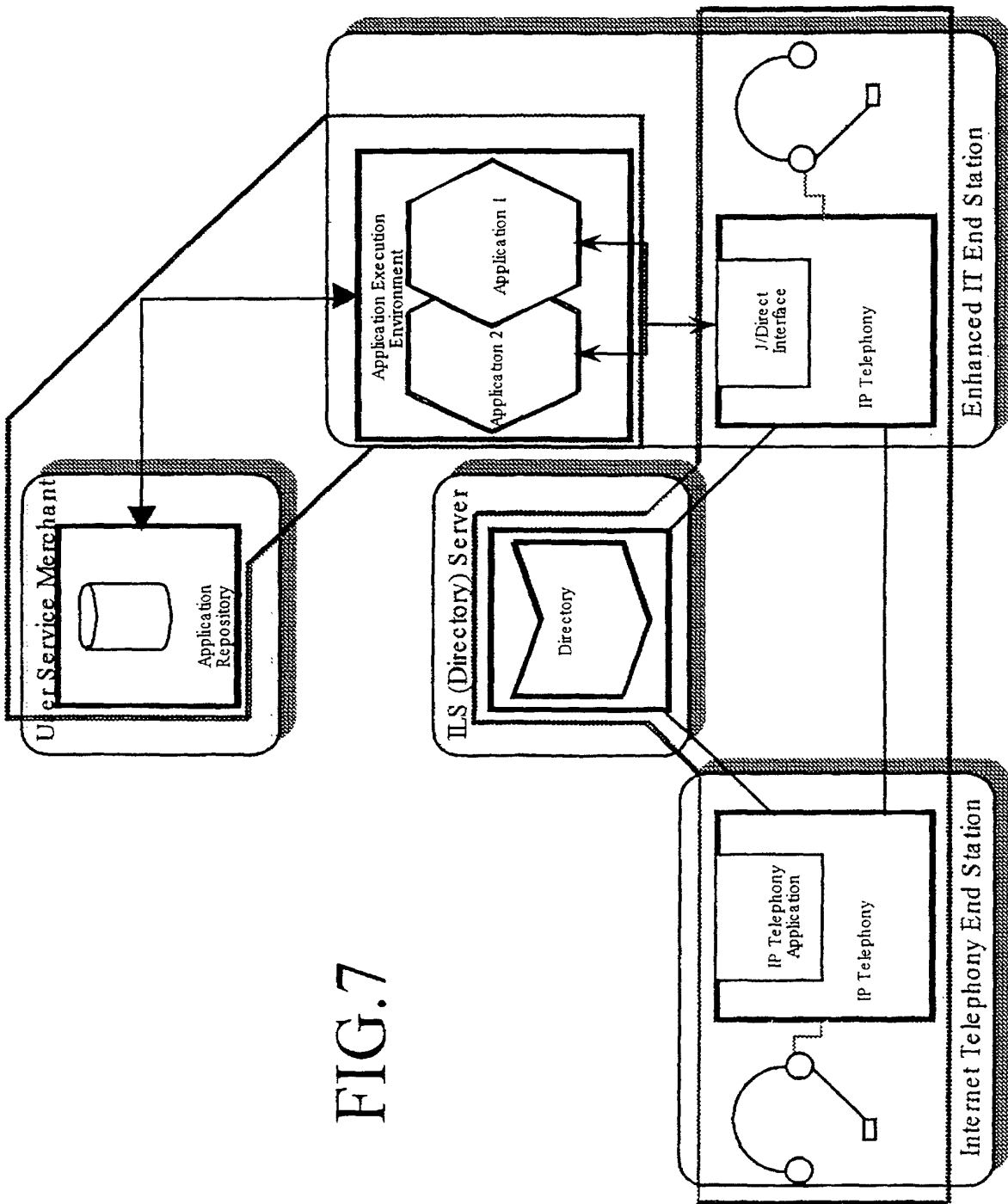


FIG. 7

FIG. 7

# Declaration and Power of Attorney For Patent Application

## Erklärung Für Patentanmeldungen Mit Vollmacht

### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

#### Service System in a network

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigefügt ist.

☒ am 14.12.1999 als

PCT internationale Anmeldung

PCT Anwendungsnummer PCT/EP99/09928

eingereicht wurde und am \_\_\_\_\_

abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### Service System in a network

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 14.12.1999 as

PCT international application

PCT Application No. PCT/EP99/09928

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:



# German Language Declaration

Prior foreign applications  
Priorität beansprucht

Priority Claimed

98123925.4

EP

16.12.1998

☒

☐

(Number)

(Country)

(Day Month Year Filed)

Yes

No

(Nummer)

(Land)

(Tag Monat Jahr eingereicht)

Ja

Nein

(Number)

(Country)

(Day Month Year Filed)

☐

☐

(Nummer)

(Land)

(Tag Monat Jahr eingereicht)

Yes

No

Ja

Nein

(Number)

(Country)

(Day Month Year Filed)

☐

☐

(Nummer)

(Land)

(Tag Monat Jahr eingereicht)

Yes

No

Ja

Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/EP99/09928

14.12.1999

(Status)

(Status)

(Application Serial No.)

(Filing Date D, M, Y)

(patentiert, anhängig, aufgegeben)

(patented, pending, abandoned)

(Anmeldeseriennummer)

(Anmeldedatum T, M, J)

(Application Serial No.)

(Filing Date D,M,Y)

(Status)

(Status)

(Anmeldeseriennummer)

(Anmeldedatum T, M; J)

(patentiert, anhängig, aufgeben)

(patented, pending, abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

09858277 US 1591

# German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Customer No. 26574

And I hereby appoint

Telefongespräche bitte richten an:  
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Ext. \_\_\_\_\_

Postanschrift:

Send Correspondence to:

Schiff, Hardin & Waite  
6600 Sears Tower 60606-6473 Chicago, Illinois  
Telephone: +1 312 258 5780 and Facsimile +1 312 258 5921  
or  
**Customer No. 26574**

Voller Name des einzigen oder ursprünglichen Erfinders: <b>Dr. MARKUS LAUTENBACHER</b>		Full name of sole or first inventor: <b>Dr. MARKUS LAUTENBACHER</b>	
Unterschrift des Erfinders <i>Markus Lautenbacher</i>	Datum <i>05/11/01</i>	Inventor's signature	Date
Wohnsitz <b>OBERAMMERGAU, DEUTSCHLAND</b>		Residence <b>OBERAMMERGAU, GERMANY</b>	
Staatsangehörigkeit <b>DE</b>		Citizenship <b>DE</b>	
Postanschrift <b>WARBERGSTR. 2</b>		Post Office Address <b>WARBERGSTR. 2</b>	
<b>82487 OBERAMMERGAU</b>		<b>82487 OBERAMMERGAU</b>	
Voller Name des zweiten Miterfinders (falls zutreffend):		Full name of second joint inventor, if any:	
Unterschrift des Erfinders	Datum	Second Inventor's signature	Date
Wohnsitz		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).

096637 "DE" 26574